

**Border Policy Research Institute Publications** 

**Border Policy Research Institute** 

2010

# 'Breaking Points,' but No 'Broken' Border: Stakeholders Evaluate Border Issues in the Pacific Northwest Region

Victor A. Konrad

Follow this and additional works at: https://cedar.wwu.edu/bpri\_publications

Part of the Economics Commons, Geography Commons, International and Area Studies Commons, and the International Relations Commons

#### **Recommended Citation**

Konrad, Victor A., "Breaking Points,' but No 'Broken' Border: Stakeholders Evaluate Border Issues in the Pacific Northwest Region" (2010). *Border Policy Research Institute Publications*. 79. https://cedar.wwu.edu/bpri\_publications/79

This Research Report is brought to you for free and open access by the Border Policy Research Institute at Western CEDAR. It has been accepted for inclusion in Border Policy Research Institute Publications by an authorized administrator of Western CEDAR. For more information, please contact westerncedar@wwu.edu.



'Breaking Points,' but No 'Broken' Border: Stakeholders Evaluate Border Issues in the Pacific Northwest Region

Victor Konrad, Ph.D., C.Dir. Visiting Research Fellow, January – June 2009

Research Report No. 10 July 2010

Border Policy Research Institute Western Washington University Bellingham, Washington www.wwu.edu/bpri/



#### About the Border Policy Research Institute

The BPRI focuses on research that informs policy-makers on matters related to the Canada – U.S. border. Policy areas of importance include transportation and mobility, security, immigration, energy, environment, economics, and trade.

Border Policy Research Institute Western Washington University 516 High Street Bellingham, WA 98225-9110 (360)650-3728

Any part of this report may be duplicated with proper acknowledgment. This report is available at <u>http://www.wwu.edu/bpri</u>

### Acknowledgements

This project would not have been possible without the encouragement and support of Don Alper. Funding for the research was provided by the Border Policy Research Institute, Western Washington University. I would like to express my gratitude to David Davidson for his advice and counsel during the project. Hugh Conroy of the IMTC also offered many suggestions and provided support and access to contacts throughout my Fellowship tenure at the BPRI.

Thanks to the participation of more than 100 border stakeholders in the cross-border region, the research project has gained the insights and experiences of a substantial cross-section of people who work with or at the border between Washington State and the Province of British Columbia. Many of these people granted interviews on the condition of anonymity. All provided valuable information based on extensive experience with the border.

The project could not be completed without the assistance and commitment of some key people. Stacia Dreyer assisted with numerous aspects of the research project including organizing focus groups in Bellingham, WA and Osoyoos, BC. Dan Turbeville (Eastern Washington University) and Susan Bradbury (University of Iowa) kindly gave access to their interview data. Bonnie Harp provided technical assistance with the survey. Special thanks to Sukumar Periwal, Emmanuel Brunet-Jailly, John Belec and Ben Muller for their help with interview contacts in British Columbia, and hosting my research presentations at the University of Victoria, University of the Fraser Valley and Simon Fraser University. Graduate students Evelyn Mayer and Bryant Hammond offered valuable feedback on the research as it progressed.

Many other colleagues and students at the BPRI and across campus at Western Washington University contributed to the project through discussions, seminars and conversations. They added substantially to an outstanding fellowship experience at the BPRI and WWU. I thank them all for their interest and suggestions, particularly visiting colleagues Anneliese Vance and Stephen Blank, and WWU faculty Dan Boxberger, Pat Buckley, Steve Globerman, James Loucky, Doug Nord, Dave Rossiter and Paul Storer. Many more people in the region made this research possible and their help is greatly appreciated.

Finally, I want to recognize two great friends and hosts at Western. Bob and Marilyn Monahan made it possible for me to complete my interviews in December, 2009. I am grateful for their hospitality and their friendship.

## Introduction

At the beginning of the 21<sup>st</sup> century, as global flows emanate from North America and from all around the world to stream across our continent in every direction, the enhanced border between Canada and the United States of America appears strangely enigmatic. Due to the immense pressure on the one hand to step up security, and the almost equally strong imperative on the other to expedite crossing, the border has been re-invented to enable the rapid crossing of some goods and services, and some people (Konrad and Nicol, 2008; Brunet-Jailly, 2007). Others wait for what may indeed become intolerable, uneconomic and uncertain outcomes. Two increasingly separate and differentiated positions have emerged to explain this situation. Some see the border as necessarily more definitive, enforced, divisive, and secured. In their estimation it needs to be that way to ensure security. For others, the border has become problematic with snarled traffic, contradictory regulation, invasive enforcement and rapid, unpredictable change. They are concerned and often alarmed by the changes they see and experience. Their reactions, combined with highly publicized border incidents, have created an imagined barrier above and beyond the visible security enhancements at the boundary. The result is an altered human geography of the Canada-U.S. borderlands. There is an imperative to understand this new geography, and to approach the subject with new border thinking (Agnew, 2008; Van Houtum, 2005; Walters, 2006). A decade has passed since the events of 9/11, yet insecurity prevails and the border appears more fortified than ever. All of the efforts to ostensibly build a better border-new and more infrastructure, expanded regulation, giant leaps in technology application, many more new personnel-have yet to produce a border that works for both Canadians and Americans in the 21<sup>st</sup> century.

Will the U.S.-Canada border in time work quietly and effectively as it apparently did before 9/11? Or, will the changes at the border continue to produce complications and extend the difficulties of operating, managing, enforcing, negotiating, and, ultimately, crossing the border between Canada and the United States? Is the border 'broken' as some pundits would lead us to believe? Does the United States need a 'virtual wall' to separate it from Canada? Or, can the stresses, strains and fractures be examined, treated and mended to form a 'bionic' successor to the aging and outdated "longest undefended border in the world?" Inherent in all of these questions and metaphors is the notion that the evolving border has faults, and that these faults lead to difficulties and sometimes operational and structural breakdowns at the border. This perspective is different, and potentially more instructive, theoretically, and for policy development and action, than the prevailing notion and simplification of a post-9/11 thickened border as opposed to a thin border which prevailed in the  $20^{th}$  century.

This paper explores the nature of the faults and the resulting 'breaking points' in how the border works, and does not work, as it encounters change and engages evolution. A theory of border change, I submit, needs to acknowledge and attempt to define why, where and how breaking points occur along a boundary. With this knowledge, it may be possible to predict border change processes and the impacts of change within border regions. The theory that informs this study is examined before the nature of the breaking points is explored in the context of the border in the Pacific Northwest, and the potential impact is evaluated through interviews and a questionnaire survey of informed stakeholders in the border region.

In these recent interviews and the survey, border stakeholders on both sides of the Canada-U.S. boundary defined 'breaking points' in the operation and management of the border, and they suggested causes and solutions for the difficulties at the border. Yet, the consensus of both Americans and Canadians, residents of Washington State and the Province of British Columbia, is that the border between them is not 'broken' as portrayed commonly by the media. In the Pacific

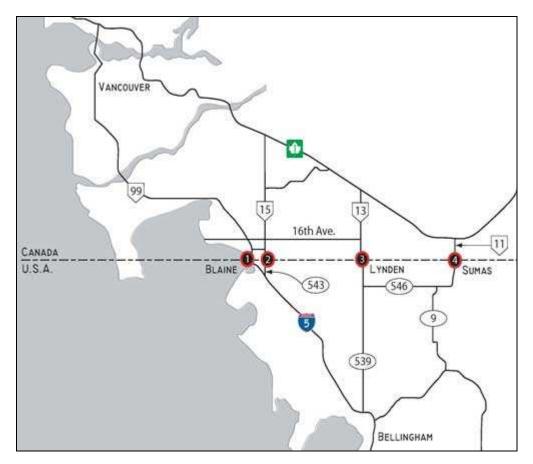
Northwest region, according to stakeholders, the border is viewed as complex and dynamic, with multiple functions, regional distinctiveness, intra-regional variability, and, indeed, growing pains as it 'thickens' with security, technology and infrastructure. The border has expanded both as a human construct and a national construction to become more immediate and real in the lives of those who choose to and need to cross, and those who have decided to avoid crossing, or those who are now explicitly excluded. The 21<sup>st</sup> century border is a challenge to be engaged, although not necessarily welcomed, by stakeholders in the Pacific Northwest who identify with and prefer a 'thinner' border. Most stakeholders are, however, engaged in the process of imagining, conceptualizing and building a better border for the 21<sup>st</sup> century.

This research report begins with a brief characterization of the border region and difficulties at the border, before discussing 'breaking point' theory and how this approach may help to understand problems in the operation of the Washington-British Columbia region of the border, and borders generally. The characteristics of border stakeholders are then reviewed before the findings are evaluated, first with reference to how the breaking points manifest in the border region, and then with regard to how and why the border is resilient to these stresses. The discussion continues with an assessment of stakeholder consensus, and how to make this consensus work. Recommendations for border policy are offered after the general conclusions of the study.

# The United States-Canada Border in the Pacific Northwest Region

The border between the United States and Canada is decidedly regional in character. That is, the long boundary is one continuous line although the border characteristics and operations are evident as a series of adjacent cross-border regions. This regional character is well documented and effectively portrayed in numerous publications (Canada, PRI, 2008, Konrad and Nicol, 2008). Among these distinctive cross-border regions, none is delineated more effectively and evaluated more extensively than the Cascadia or Pacific Northwest border region (Loucky, Alper and Day, 2008). The border between the Province of British Columbia and the State of Washington constitutes the core of this border region, and within this context, the Cascade Gateway comprised of four associated crossings is the primary corridor for border crossing in the Pacific Northwest (Map 1). Yet, the smaller, less frequented land border crossings outside the corridor, combined with the water, rail and air crossings in the cross-border region, are all part of the border system between Washington and British Columbia. These include water links between Victoria and Port Angeles, Bellingham, Anacortes and Seattle, the idiosyncratic land crossing at the U.S. territorial outlier of Point Roberts, rail lines concentrated primarily in the corridor, and the increasingly important land crossings in the rapidly populating interior of British Columbia and Washington. Expanding air terminals, not only in Vancouver and Victoria but also in rapidly growing centers such as Abbotsford, BC, and Bellingham, WA, are part of the system as well.

This extensive cross-border system is evolving and expanding to serve a growing population in the region, as well as a substantial number of visitors to the international region and the world cities of Vancouver and Seattle. Much of the growth and change in the cross-border system is concentrated in the Cascade Gateway and at the air terminals, although changes in crossing patterns are evident as well at the smaller, interior border crossings. This study is concerned with the entire Washington/British Columbia cross-border region, although the focus of the interview and survey work is concentrated in the corridor area or Cascade Gateway, and, to ensure comparison, also a selection of the crossing points in the interior.



Map 1. Crossings of the Cascade Gateway (1 Peace Arch/Douglas, 2 Pacific Highway, 3 Lynden/Aldergrove, 4 Sumas/Huntingdon)

# Why Does the Border No Longer Seem To Work?

The United States-Canada border has changed. It has not moved; it has not closed. Yet, the border is different in substantive ways, and this difference, associated as it is with the forces of securitization and restriction, and attributed largely to U.S. actions, has resulted in visible, measureable, irreversible, irreconcilable, and even irascible changes (Alden, 2008). The changes are palpable in many respects but none is more evident than the uncertainty of crossing envisioned by the public on both sides of the border. The uncertainty looms despite the efforts of both governments to expand the engagement of the public in identity verification compliance (Abelson and Wood, 2007). During the last decade the border has been viewed increasingly as hardened, and this perception has convinced many to stop crossing or to change their crossing patterns, and in some instances, expedite their cross because uncertainty extends to crossing time as well, and in some instances intolerable wait times stop expedited travelers too.

Another change that is both perceived and real is the militarization of the border (Drache, 2004). For Canadians, and even Americans more familiar with a visible military presence, the border now appears, and indeed is more 'armed' and intimidating. The border is a bigger place bristling with intrusive technology, more uniformed and armed personnel, prominent barriers and signs with curt demands. This change, even if it is as much perception as it is reality for the traveler, has been sufficient to impact crossing frequency and crossing patterns.

Aligned with militarization of the border is the increase in regulation and interrogation (Muller, 2010; Salter, 2008). This change is felt both by the crossing public and the firms engaged in business across the border. The avowed long term objective is more consistent and effective documentation but the short and intermediate term effect is just more and inconsistent regulation. This regulatory transition, with its attendant inconsistency, duplication and variation in enforcement creates more uncertainty.

Uncertainty, militarization, and regulation all cost more money, and more time, which, in a North American context, is in effect more money. Another dramatic change then is the substantially increased cost of the border. More personnel, more infrastructure and vastly enhanced technology have cost both countries billions of dollars.

Driving all of these immense changes at the border are the insecurity environment and the security imperative. The first force creates a vacuum, or the illusion of one, and the second force fills it. Herein is apparent the initial, dramatic shift in behavior of the border system. The United States envisioned a major lack of security at its border with Canada, and the U.S. directed massive waves of enforcement, regulation and barrier construction at this perceived problem. As these waves broke at the border, the transformation of the border region ensued from a border regime where well established procedures prevailed (and, in fact had been streamlined by NAFTA provisions), to a border zone where transition appeared as the only constant. What appeared initially as relatively small and ordered shifts in procedure, staffing, protocols, organization and restructuring at and near the border, soon combined or repelled in such a way, both on either side and across the border, to cause major changes and result in the disruption of the border system, and in some instances the breakdown of border processes and border constructs. These may be called 'breaking points.'

## 'Breaking Point' Theory

Breaking point theory draws on the extensive theory of waves, catastrophe, chaos and critical levels, now well established in both the physical and social sciences, and it applies concepts derived from these theories to the conceptualization of the re-bordering or border evolution process (Alligood et al, 1997, Arnold, 1992, Greene, 1999, Cox, 1992, Gladwell, 2000). A breaking point is conceptualized as the sudden shift in behavior of a system arising from a small change in circumstances. This shift may lead to sudden and dramatic circumstances, for example, the unpredictable timing of a landslide, or the massive gridlock of halted traffic near a border crossing point. Catastrophe theory, an outgrowth of bifurcation theory, explains that small changes in certain parameters of a nonlinear system can cause equilibria to appear or to disappear, or to change from attracting to repelling, and vice versa, thus leading to large and sudden changes of the behavior of the system. Chaos theory relates to dynamic systems that are highly sensitive to initial conditions, and where small differences in initial conditions yield widely diverging outcomes for systems, thus rendering prediction difficult if not impossible in the long term. The prediction of change in weather systems is a good example. Chaotic systems, in addition to being sensitive to initial conditions, also display topological mixing, that is the property that the system will evolve over time so that any given region or open set of its phase space will eventually overlap with any other given region. This transitivity of systemic space is accompanied by a "density of periodic orbits" where every point in the space is interactive with others. Wave theory, and particularly the breaking wave, contributes further to the understanding of breaking points by articulating that when a breaking wave's amplitude reaches a critical level, a process will suddenly start to occur to cause large amounts of wave energy to be transformed into turbulent kinetic energy. It is the point of transformation, the point at which the system changes radically or breaks down, that is of greatest interest in breaking

point theory. What causes critical points to degenerate? What are the germs of catastrophic geometries such as folds and cusps, and the 'swallowtail,' 'butterfly,' hyperbolic, elliptic, and parabolic signatures that become evident when systems break down (Alligood et al, 1997, Kellert, 1993, Posten and Stewart, 1998)? At this juncture in theorizing breaking points as related to border theory, however, characterization of the outcome geometries is less compelling and urgent than defining the initial conditions, regional topology characteristics and point relationships in the borderland space.

Borders may look deceptively like linear systems, where catastrophe and chaos theory might not apply, yet actually the linear boundary is but one part of the system which also includes the crossing point(s), approaches, and other points and links in the border region or borderland (Konrad and Nicol, 2004). Border regions in the process of rapid and extensive change immediately invest the greatest energy of change at the boundary line thus requiring rapid and unformulated response in other parts of the system. Herein, the initial conditions are created for widely diverging outcomes, potentially rendering the system chaotic and unresponsive. Change is concentrated at the borderline, and the consequences of change at the border are unleashed most dramatically and unpredictably at the border and in the border region.

Re-bordering the United States and Canada, or re-inventing the border between the countries, is conceived as a massive, complex process with fundamental changes in both the structure of border crossing activity and the human agency guiding this behavior (Andreas and Biersteker, 2003; Drache, 2004). A theory of borders is emerging but it has yet to incorporate the implications of sudden and extensive change (Brunet-Jailly, 2005, Konrad and Nicol, 2008). Changes in border crossing policy may impact the relationship between Canada and the United States with consequences for governance, prosperity, citizenship, growth and progress, and sustainability in both countries, and more specifically the respective communities along the border and within cross-border regions (Brunet-Jailly, 2006; Nicol, 2005).

Expanded trade under the Free Trade Agreement (FTA) and North American Free Trade Agreement (NAFTA), for example, has brought with it realignment of trade flows, corridor development and congestion at the border (Konrad and Nicol, 2004; Gattinger and Hale, 2010). In the 1990s, border enhancements to accommodate these changes evolved gradually until post-9/11 demands for securitization, and a subsequent specter of trade strangulation, expedited the "works in progress" as well as initiated new programs to move goods. The United States and Canada, immediately after 9/11, almost discovered the breaking point or point of dissolution in their immense cross-border trade (Clarkson in Andreas and Bierstecker, 2003). This potential disaster was averted because some programs to move goods more effectively across the border were already in place, or could be expedited, and others were established rapidly, mindful of the need to balance security and mobility (Konrad and Nicol, 2008). Subsequently, each program implemented to expedite the movement of goods, whether by truck, by rail, by air or by sea, has in it an inherent recognition of the threshold level to be attained before there is general acceptance. This critical level, "the concentration that indicates the division between responsive and non-responsive conditions" (Kellert, 1993, 32), needs to be identified, recognized and acknowledged by both policy makers and policy facilitators to guide compliance, and more broadly by the border crossing public in order to assure acceptance. For example, to handle the staggering amount of truck traffic at the border, streaming has been initiated to differentiate pre-cleared carriers from those requiring complete inspection, and scanning equipment has been installed to expedite the truck inspection process (Bradbury and Turbeville, 2008). Breaking points are evident in this streaming beyond the simple differentiation of pre-cleared and non-inspected loads. These breaking points may be found in

maximum acceptable wait times measured by cost factors, or the environmental impact of truck idling. A "tipping point" effect might occur, where a seemingly small or unrecognized impact such as diesel truck emissions suddenly raise toxic elements in the air to levels that are unacceptable by legislated standards accepted by one or both countries (Goldfarb, 2007). The point is that this seemingly little thing may make a big difference and result in a collateral breakdown in border functions.

The array or accumulation of breaking points is postulated as changing over time and across space as one dynamic—re-bordering or re-inventing the border—impacts a range of other dynamic processes such as securitization and digitalization. This implies that breaking point configurations will have different signatures at crossings located at different points along the boundary, at different levels in the border crossing hierarchy of places, and in different cross-border regions. Yet, there may prove to be consistencies in these signatures among border crossings of similar size or those found in the same cross-border region.

The theoretical challenge is then to characterize the breaking points in the process components of border change and evolution. Prominent among these components are securitization, mobility enhancement, technological advancement, and identity verification. Some of these are linked in continua such as security and mobility, so that an increase in security may result in a concomitant reduction in mobility. Dichotomies of goods and people crossing, and human or digital inspection, as well as scales of regional-national, and transnational-neo-national affiliation, also relate to the definition of breaking points. This approach promises to provide a conceptual framework for anticipating "points" or "ranges" where structure and agency may break down in the process of change at the border. Anticipating these thresholds may assist policy makers to avoid crises resulting from instances such as the Rouse's Point, NY/ Lacolle, QC firetruck detainment and Detroit, MI/Windsor, ON ambulance service halt, or traffic surges between British Columbia and Washington based on currency value shifts.

# Evaluating 'Breaking Points' in the Operation of the Washington-British Columbia Border

In order to evaluate how these breaking points manifest in the Washington-British Columbia border region, it was important first to collect evidence that would confirm the initial observations in this region and along the U.S.-Canada border in other regions. The approach involved extensive evaluation of the border studies literature, and specifically the literature focused on the Pacific Northwest cross-border region. This assessment was extended to 'conversations' with border studies scholars and border 'experts' in the region. In these conversations the main objective was to discern what was working, and what was not working at the border. This knowledge base provided the platform for theorizing breaking points as well as developing an approach to evaluating the nature and impact of these breaking points through empirical analysis of the knowledge, attitudes and opinions of people in the region who were informed about the border and maintained a 'stake' in the effective operation of the border. This formative step also provided the opportunity to 'test' the emerging ideas and analytical approaches related to breaking points with colleagues at the Border Policy Research Institute, and others in the Pacific Northwest border region.

The next step in the approach was to refine the research design and to identify a set of questions. These questions were then re-formulated as a guide for the interviews. The interview questions were formulated in three groups or sets: those exploring the interviewee's sense of the 21<sup>st</sup> century U.S.-Canada border, those seeking information, insights, attitudes and opinions on changes at the border and in the border region, and, finally, those evaluating visions for the border. The questions in each

of these three sections were open-ended to allow for extensive discussion with the interviewee. A copy of the question guide for the interviews is reproduced as Appendix 1 to this report.

The interview list was developed with several parameters. First, every person interviewed needed to be informed about the border in at least one capacity. This required affiliation with a border stakeholder group such as enforcement, border community, cross-border business interest, governance related to the border or academic understanding of the border. These border stakeholders would be selected from sectors of interest and relationship with the border ranging from transportation, environment, trade, and tourism, to enforcement and regulation. The objective was to divide the interviews equally among Americans and Canadians so that there would be a balance of sectors represented on each side of the border as well as a geographical distribution that would extend to communities along both sides of the border, from Vancouver Island to the continental divide. An initial list of names was provided by the International Mobility and Trade Corridor (IMTC) project's resource manual (IMTC, 2009). This list was expanded with names obtained from the BPRI, border related organizations and border stakeholders in both countries.

The objective was to conduct 100 interviews during the Fellowship tenure at the BPRI. During the period from February to June, 2009, 79 interviews were completed, including several focus group discussions in the Cascade Gateway and the Okanagan Valley. An additional 19 interviews were completed in December, 2009, to bring the total to 98. Interviews lasted between 30 minutes and 90 minutes, with most of the meetings extending between 45 minutes and one hour. Each interview was recorded in a notebook and on a record sheet with spaces for comments after each question. Most of the interviewees participated on the condition that the interview would not be electronically recorded, and that their names would not be acknowledged in any publication.

The interviews provided substantial information and detail about the questions posed as well as related information on border operation and management throughout the cross-border region. These data comprised one part of the research deliverables, whereas a stakeholder survey of attitudes and dispositions toward border issues provided another, more measurable data set of how the border was viewed in the Pacific Northwest cross-border region. Although the survey results comprise a useful measure of stakeholder views about the border in their own right, the survey results and the interview records, together, produce a complementary body of detailed information about the border.

An attitudinal survey is an effective instrument to reveal, measure and compare how respondents are disposed to a set of statements which examine dimensions of a subject. My objective in this survey was to gain a measure of how the border is viewed by stakeholders. Accordingly, the survey was administered by e-mail to an extensive list of 160 border stakeholders in the cross-border region. The list included all of the stakeholders interviewed previously and subsequently. The survey was conducted in the summer and early fall of 2009, initially in July, with two reminders sent in August and in September.

The survey document is comprised of 50 statements requiring responses on a five point scale ranging from strongly agree, agree, neutral, disagree, to strongly disagree. The statements are grouped in four parts: What is a border? Views on how the United States Canada border works, Views on border management in the British Columbia and Washington State border region, and Ideas for a better border. Question 51 allows respondents to offer comments or suggestions. Five additional questions request demographic information including citizenship, residency, use of border crossings, stakeholder affiliation and length of experience as a border stakeholder. The questionnaire and the survey results of the Border Stakeholder Survey are included in Appendix 2 of this report.

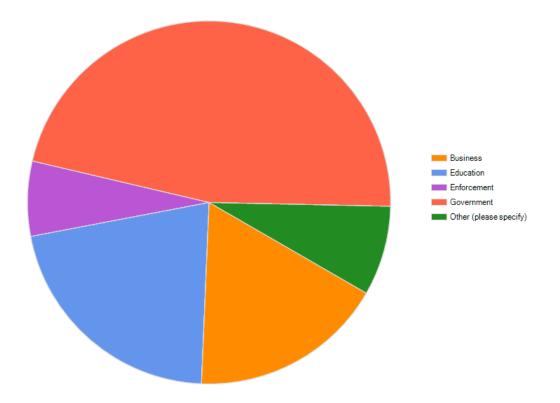
The analysis of the interview data was accomplished in two steps. The initial assessment of the interview records was carried out in September and October, 2009. The final analysis was completed after the final interviews were accomplished in December, 2009. This analysis involved reading four interview record books, the question/answer record sheets and supplementary notes compiled for some of the joint interviews and the focus groups. Themes were identified from this richly textured and complex set of response records, and perspectives on questions were recorded as well as quotations that represented insights, opinions, facts, strategies and ideas of note. The interview data was treated as a set of narratives, each representative of an individual or group perspective on border issues. The only effort to quantify these results was the calculation of recurrent themes, ideas and opinions.

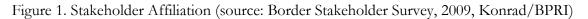
In contrast, the results of the Border Stakeholder Survey were collected with a view toward a comprehensive statistical analysis. A basic compilation of survey results has been completed and comparative statistics have been portrayed in numerical and graphic form for the responses to the statements as well as the demographic variables. Additional multivariate statistical analysis is planned to evaluate groups of dispositions toward border issues which may be evident in the responses to scaled statements in the questionnaire. This level of analysis is not required to evaluate the survey data for the purposes of the current study of views on border issues, and specifically the nature and impact of breaking points. The combined results of the survey and the interviews comprise the basis for identifying and explaining views on what is and is not working at the border. These combined results offer a robust expression of the attitudes, feelings, knowledge and opinions of border stakeholders regarding the state of the border in the Pacific Northwest.

## **Border Stakeholders**

Border stakeholders are the people who both live near and with a border. Some border stakeholders work in governance as municipality, state/province and nation-state employees. Others do business across the border. Some are concerned about cross-border environment and other issues that engage citizens living near the border. Some have family ties and social connections across the boundary. Some are Americans who have moved to Canada and others are Canadians who have moved to the United States. Some are officials who manage security and regulation at the border. Most have a long and constant relationship with the border whereas a few, the VANOC organizers for example, become stakeholders only for a brief time.

The border stakeholders interviewed and surveyed in Washington and British Columbia identified with all of these stakeholder affiliations. The survey of 83 respondents included almost half from government, 21.3% from education, 17.3% from Business, 6.7% from enforcement and the remaining 8% from other affiliations, predominantly non-governmental organizations (Figure 1: Stakeholder Affiliation). A similar pattern of affiliation prevails for the 98 border stakeholders interviewed between February and June, 2009, and then completed in December of 2009. Government again accounts for almost half (44.3%), and these respondents are almost evenly distributed among federal, state/provincial and municipal government agencies, with the largest number, approximately one third, engaged in transportation whereas the remainder represent governmental relations. Border stakeholders interviewed in business account for 17.2%, and this is followed closely by the NGO respondents (13.2%), enforcement (14.7%), and education (11.1%). Clearly, it was possible to balance the proportion of interviews by affiliation, and distribute the survey to similarly defined affiliation groups. The response to the survey could not be predicted, however, and this accounts for the differences in affiliation between the survey and interview groups





of respondents. The differences are not substantial except in the under-representation of enforcement respondents to the survey. All of the stakeholders interviewed were asked to complete the survey subsequent to the interviews. An additional and almost equal number of stakeholders who had not been interviewed were also asked to complete the survey. The 83 respondents to the survey represent stakeholders from both the 'interviewed' and 'not interviewed' groups, and these respondents account for a more than 50% response rate to the survey. The affiliation profile of the border stakeholder interviews and survey is balanced, representative, and comprehensive as a sample of stakeholder views, perceptions, attitudes and opinions.

The demographic profile is balanced in other ways as well. One half of the respondents to the survey are American citizens and the other half are comprised of Canadian citizens, a handful of dual citizens and one foreign national (Figure 2: Stakeholder Citizenship). Stakeholder interviews did not confirm citizenship information but stakeholder residency was apparent: Canadian 54%, American 46%. Stakeholder residency for survey respondents is almost opposite with just under 60% Americans and just over 40% Canadians (Figure 3: Stakeholder Residency). Border stakeholders responding to the survey almost all have at least one to five years experience with the border, and almost one-half of the respondents claimed 16 years or more (Figure 4: Border Stakeholder Experience). Almost every one of the respondents uses the border crossings. Approximately 60% use the Cascade Gateway crossings, under 15% use interior BC/WA crossings and over 25% use both the Cascade Gateway and the interior crossings (Figure 5: Stakeholder Border Crossing Use). This result confirms a strong and extensive knowledge of the cross-border region among survey respondents.

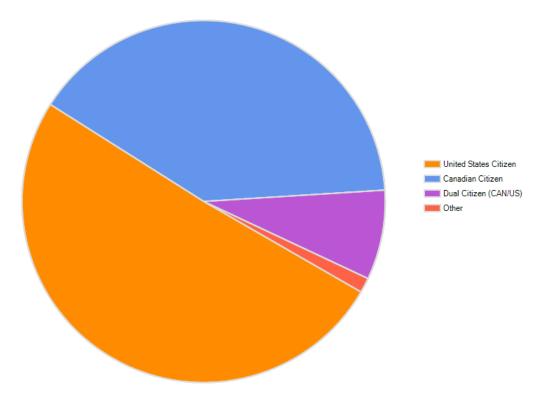


Figure 2. Stakeholder Citizenship (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

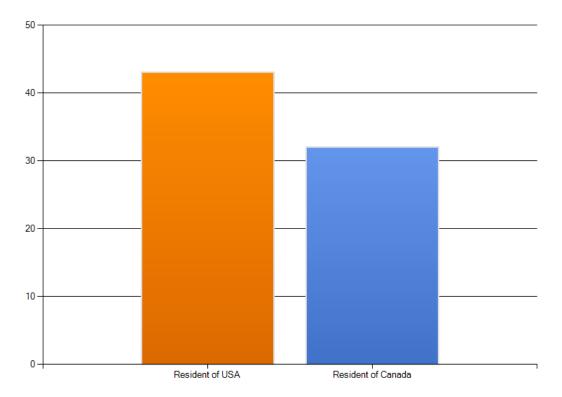


Figure 3. Stakeholder Residency (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

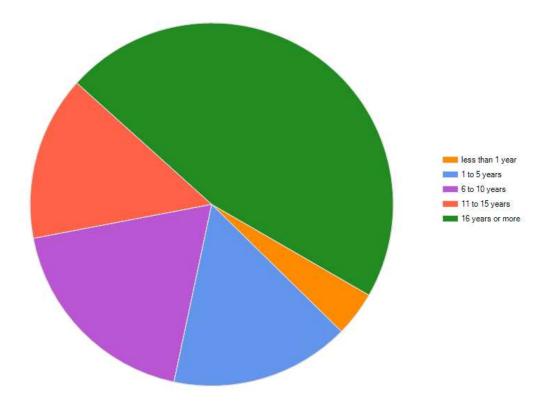


Figure 4. Border Stakeholder Experience (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

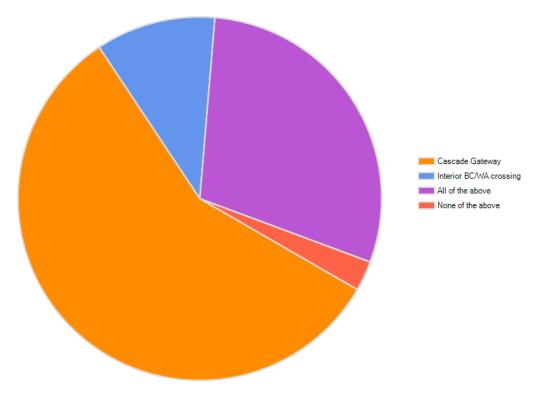


Figure 5. Stakeholder Crossing Use (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

Stakeholder interviews also confirm the depth of knowledge and understanding of the cross-border region among all of the interviewees. One apparent divide in knowledge and experience with the cross-border region is the 'coast'-interior' division. True to the geography of this mountainous cross-border region, the stakeholders in the Cascade Gateway and nearby island communities focus on their part of the region, whereas the stakeholders of the interior valleys, mountains and plateau differentiate their border experience from those who live in the coastal border communities. In order to explore the nuance of this difference in perspective, as well as to examine the specificities of border issue awareness and understanding at the community level, interviews were conducted in as many border communities as possible in addition to the interviews of stakeholders affiliated with government agencies, NGOs and institutions representing the various sectors of interest and expertise, and characteristically situated in urban centers and state/provincial capitals. The interviews of stakeholders representing sectors such as transportation for example, reveal an extensive knowledge of border issues throughout the cross-border region, and an aptitude for synoptic thinking and effective analysis of border effects in transportation. Stakeholders representing communities, on the other hand, exhibited a greater tendency to focus on community issues and concerns with the border, where they possessed an acute sense of awareness and insight, rather than offer speculation about broader border issues, and those concerns outside their area.

# The Downside of a Rapidly Evolving Border: 'Breaking Points'

Stakeholder interviews and the survey both identify and confirm prominent difficulties with the evolving Canada-United States border generally, and more specifically in the Pacific Northwest cross-border region of Washington State and British Columbia. These *downside* factors are often complex bundles of issues but they may be summarized as six major concerns among the stakeholders. They are the problem of security primacy, the consensus that waiting at the border is unacceptable, the realization that thickened borders cause breaking points which in turn affect all aspects of border operation, the belief that uniform border practices for North America will not work, the assessment that reactive border policies do not address causes, only symptoms, and the observation that ports of entry are essentially different with different problems, but policies do not necessarily reflect this diversity. Each one of these concerns needs to be unpacked because each one is comprised of interconnected issues revealed in the interviews and in the survey.

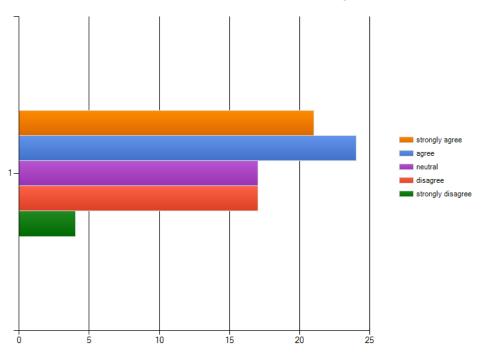
### The problem of security primacy

How many times since the events of 9/11 have we heard assertions such as 'security trumps trade' and 'security is our first priority' (Nicol, 2006)? Almost a decade has passed without terrorist incursions across the border between the United States and Canada, yet the mantra of vigilance has sustained a primacy for the security imperative that is unrivaled in the history of the Canada-U.S. relationship. The importance of vigilance is not in question, nor is the value of effective security. The difficulty lies in the overwhelming weight and presence of the security imperative and its articulation at the border. In one sense, security enforcement views security in isolation. Security primacy quite simply overshadows and obscures other functions of the border. Also, security primacy is like a magnet that draws other border functions and re-shapes them as security related imperatives. This makes it difficult to clarify and understand issues surrounding tourism, immigration, transportation, and trade, for example, because they all need to pass through security filters first. All border functions become transfigured as security functions. The issue of security primacy, then, is perhaps one of the most expressive illustrations of how breaking points occur as substantial change and realignment of

border priorities builds in a massive wave, and the wave breaks to reconfigure and redefine all other border functions and priorities as security related functions.

Border stakeholders interviewed in this study were almost unanimous in raising concerns about the overwhelming emphasis on security, and the difficulty of raising this concern in a border 'climate' where security was the rule. There was evidence offered as well of two 'camps,' each with a defined position. For those engaged in enforcement, security primacy was acknowledged without equivocation, although Canadian officials were more inclined to discuss the issue and consider its collateral impact than their American counterparts. All border stakeholders outside the enforcement community were in the other camp. Although efforts are being made to dialogue across this ideological 'border,' the positions remain well defined and established.

Responses to the survey are revealing about stakeholder opinions of security primacy. Stakeholders presented with the statement "A border is there first and foremost to assure our national security" scored higher on strongly agree and agree columns but also scored high on neutral and disagree columns, and a few disagreed strongly with the statement (Figure 6a). When offered the more specific but less emphatic statement "The border between Canada and the U.S. is there to ensure security," fewer respondents were neutral or strongly agreed, whereas more either agreed or disagreed (Figure 6b). The statement "Enhanced border security at the Canada-U.S. border makes me feel safer" evoked a strong neutral response followed closely by disagreement and strong disagreement over agreement and strong agreement (Figure 6c). The prospect of increased militarization of the border caused major concern for most respondents (Figure 6d). What does this tell us about stakeholder response to security primacy? Although stakeholders acknowledge the importance of security they are mostly wary of and opposed to security primacy, particularly if this primacy leads to militarization of the border.



A border is there first and foremost to assure our national security.

Figure 6a. Survey Response to Statement 1 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

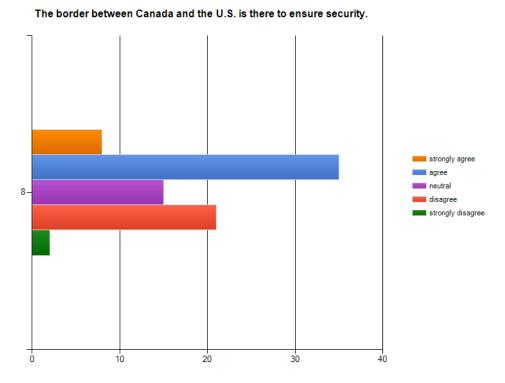
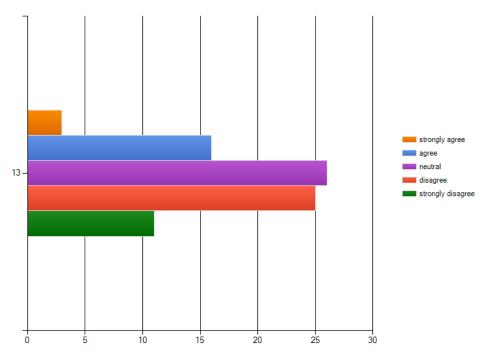


Figure 6b. Survey Response to Statement 8 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)



Enhanced security at the Canada-U.S. border makes me feel safer.

Figure 6c. Survey Response to Statement 13 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

14

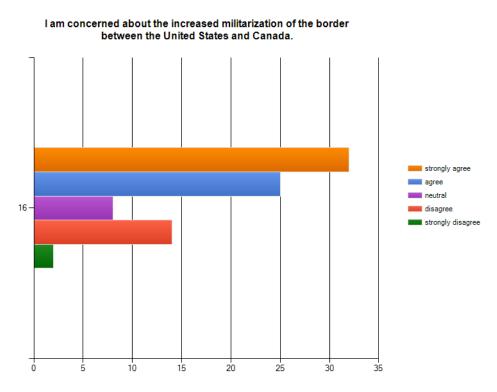


Figure 6d. Survey Response to Statement 16 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

#### Thickened borders--breaking points--extensive and variable impacts

The past eight years have seen a thickening of the border expressed most evidently in the difficulty and increased time required in border crossing. Thickening is evident as well in expanded border infrastructure, application of new technologies, increased personnel, more documentation, and, perhaps most visibly, in the national media attention to difficulties and changes at the border. Border stakeholders in the BC/WA border region agree strongly that "A 'thickened' border is produced when it takes more time, more money and greater difficulty to get across" (Figure 7a). They are concerned as well, but not as certain, that "A 'thickened' border will cause delays during the 2010 Olympic Winter Games" (Figure 7b). There is a substantial consensus, however, that "The problems at the border often compound at the busiest border crossings where they cause the greatest visible impacts or 'breaking points" (Figure 7c).

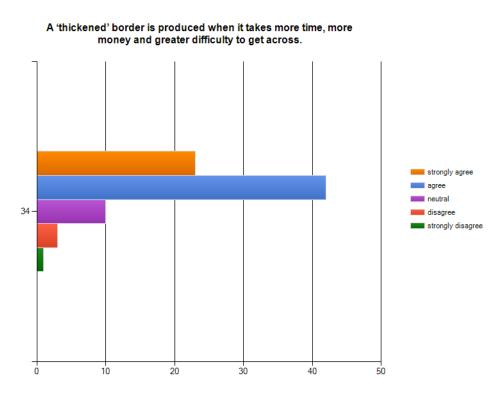
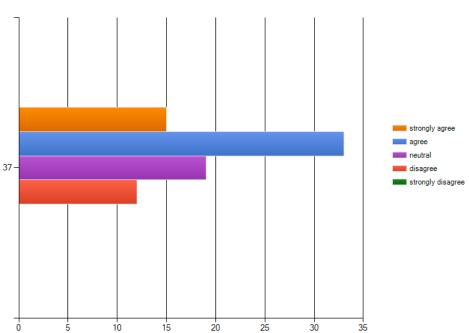


Figure 7a. Survey Response to Statement 34 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)



A 'thickened' border will cause delays during the 2010 Olympic Winter Games.

Figure 7b. Survey Response to Statement 37 (source: Border Stakeholder survey, 2009, Konrad/BPRI)

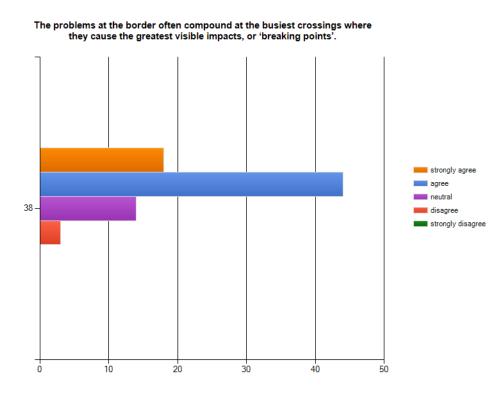


Figure 7c. Survey Response to Statement 38 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

The re-articulation of structure and agency in the process of re-bordering Canada and the United States has resulted in new configurations of border crossing which enhance and expedite the mobility of certain people and goods, but curtail the mobility of others (Sparke, 2006). Even the instances of re-bordering which eventually proved successful have been plagued by crises, false starts, and highly visible errors in judgment. Indeed, the mistakes have, predictably, garnered much more attention than successful re-bordering projects such as cargo pre-clearance. A growing, central and common concern in all Canada-U.S. border contexts is the anticipation of "breaking points," "limits," "thresholds," "tipping points," and "critical levels" in the re-bordering process. Knowledge of such defining levels is crucial to the effective development and implementation of policy, but these thresholds cannot be surmised by central policy makers without the detailed and localized experience of stakeholders. The purpose of the research project was to learn from the stakeholders about what the breaking points were, where and when they occurred, and what could be done to anticipate them. This is a part of a larger and ongoing project, but the first part is now in place, and through the stakeholder interviews and survey we can begin to understand how breaking points develop.

The survey probed a general sense of the nature of breaking points, but the interviews explored more thoroughly the explicit nature and characteristics of the defining levels. It is not possible to convey all of the specific breaking points identified by the numerous stakeholders interviewed, but it is feasible and instructive, in the context of this overview, to outline the prominent groupings of breaking points, and to illustrate how these thresholds further our understanding of the major *downside* factors of a rapidly evolving border. It is important to view these breaking points as derived from a combination of forces, and, as several stakeholders emphasized, to view them on a

continuum, related to each other as a group of circumstances that combine to result in problems at the border. Due to the complexity of border interaction, and the focal nature of crossings, breaking points are attributable rarely to one factor alone.

Although virtually all of the groupings of breaking points identified by the stakeholders had some connection to the enhancement of security and the thickening of the border, some of the breaking points are related directly to the **security enforcement regime**, and impact the delivery of security services.

Enforcement officials on both sides of the border reiterated the problems inherent in merging into different agencies and shifting from revenue collection to security. Lost in transition are well developed and time honored job specialties, valuation of experience and any semblance of customer service. Furthermore, the considerable shifts and changes in agency culture coincided with large numbers of retirements on the one hand and substantial increases in staffing on the other. The challenges to develop new systems, engage new personnel, establish a reconfigured security presence, align procedures with new legislation, accommodate new technologies, and develop a new public image have been substantial for the border agencies. All enforcement officials commented on the fact that these changes over less than a decade have been problematic. Perhaps one of the greatest difficulties has been that the changes have differentiated and distanced the security enforcement regime from the border communities where at one time they were viewed as an integral component of border life and borderlands.

Stakeholders both inside and outside the security enforcement sector noted the complications brought about with greater intelligence and issuance of intelligence-caused affidavits. In many instances this has resulted in expanded enforcement responsibilities for municipalities adjacent to the border, municipalities whose revenues have been reduced by diminished cross-border traffic due to security enhancement and economic downturn. Law enforcement has been downloaded to the municipalities and this has become a considerable financial and human resource burden. Officials in most municipalities along the boundary, in both countries but more emphatically in the U.S., pointed to the increased cost of enforcement downloaded to local governments. Some stakeholders were quick to add that federal agencies have recognized the situation and redressed the problem, at least in part, by collaborating with local enforcement where they were stretched, and assisting in enforcement activities of all kinds and related to constituencies at all levels. Whatcom County, for example, has received federal financial support for expanded prosecution requirements, and municipalities throughout the County are receiving assistance ranging from "backup" in enforcement operations to shared intelligence. Yet, one senior enforcement official commented that the cooperative efforts depend largely on personal engagement and commitment at all levels rather than on institutionalized and recognized protocols.

In effect, international cross-border cooperation and institutionalization in enforcement are leading integration efforts within the countries on either side of the border. Both the Integrated Border Enforcement Teams (IBETs) and Northstar are examples where the "primary not collateral duty is to work on cross-border cooperation" (Canada, RCMP, 2005). These are prominent and highly visible operations. They are applauded as best practices and showcased as examples of how well Canada and U.S. enforcement agencies partner. Some enforcement officials, however, added that more funding is required to make these cross-border cooperative programs truly effective. Border area enforcement, whether related to the border or to the borderlands, is being stretched and obliged to adopt new configurations, operations, capabilities and relationships. In the process of doing so, however, the enforcement community is also deploying a more extensive military presence

and persona in a cross-border region where a strong military expression at the boundary was virtually unknown in the  $20^{\text{th}}$  century.

"Don't look at the cameras," border community residents suggest. We are constantly being watched, they complain. Yet, they admit that "when something serious happens in our community the Border Patrol responds." The cameras, perhaps more than other aspects of enforcement and surveillance, were mentioned by numerous residents of border communities as the most insidious aspects of the new border regime, and a true indication of the military order now in place at the border. The cameras extend the visible and palpable reach of border security enforcement into the border communities, and into the borderlands. Combined with border patrols throughout the border zone, the stationary cameras, sensors and security signage establish an apparent and emotional security zone.

Stakeholders outside the enforcement community commented on the "military culture" that has developed at the border agencies, and that this culture is manifested in several ways, each leading to an extended distance and dissonance between the enforcement community and the crossing public. One is the increased and visible arming of the border. Guns are quite simply break points between the public and enforcement, and the United States and Canada. The survey results confirm the considerable concern among stakeholders about guns crossing the border. Officials on both sides of the border acknowledge that the issue of guns at the border remains a "disconnect" for Americans and Canadians.

Another concern leading to breaking points between enforcement and the border communities is the increasing lack of familiarity between officers and the crossing public. "There are so many new recruits now, and more rotation, so that the community does not know them and they don't know you." Another stakeholder in an interior Washington town at the border commented about the new border authorities that, furthermore, "they live in Spokane, not in the border communities." The centralization of the enforcement authorities has led to reduced feedback and accountability. One stakeholder referred to this as the culture of "no," and added that broad interpretations are gone resulting in more hardship. "At one time officers had more responsibility, and they were more flexible." "There used to be part-time officers who were more a part of the community." Security enhancement and border thickening have had a distinct impact on the quality of life in border communities, and have made them revert to being "border towns."

Yet, although most stakeholders both inside and outside enforcement commented on the *downside*, some provided positive comments on how the breaking points could be anticipated and alleviated. Among these was the observation and recommendation that the border is not and should not be the 'first line' but rather the 'last line' for security operations, and that this shift in thinking would actually reduce border thickening and the breaking points resulting from it. Furthermore, this approach would address what one border official referred to as "wasting our time in primary" and bring about a review of the "officer-based solution" which invests substantial authority and responsibility in primary inspection. The enforcement community in large part insists on the critical importance of sustaining and enhancing primary inspection. So, on the one hand there is the argument that would build the border and the barrier in place at the boundary line. The other position favors a more expansive approach to take the primary inspections to where they could be carried out most effectively and in a timely manner, and preferably away from the boundary line to reduce and limit congestion and wait times. Preclearance and trusted traveler programs are initial parts of this approach but it remains to be articulated more fully, before any further implementation is possible.

The predominant task of primary inspection is to determine the **identity** of the border crosser (Salter, 2003). This determination, according to the majority of stakeholders, has become more complicated rather than simplified and streamlined as intended by the introduction of trusted traveler programs and the requirements of the Western Hemisphere Travel Initiative (WHTI)(Abelson and Wood, 2007). Clearly, most people tend to be skeptical and even critical of new procedures, but the stakeholder response has highlighted issues of confusion about identity documentation, slow or incomplete marketing of programs, complexity of communication of documentation issues, and too many identity options. The result has been that identity verification remains an issue despite the high rate of document compliance in the BC/WA border region.

The potential of NEXUS, and more recently the Enhanced Drivers License (EDL), remain to be realized. The EDL interview is more difficult for applicants to access outside the Cascades Gateway resulting in an identification deficit area throughout most of interior Washington and British Columbia. After investing the time and effort to secure a NEXUS card, trusted travelers may encounter congestion that exceeds that in regular lanes, as well as restricted hours of operation. Stakeholders also lamented that it is very easy to lose your NEXUS card for minor infractions which essentially strip you of your "trusted" status. In a broader context the NEXUS card is criticized as well for conveying "business class" status on an emerging elite of border crossers (Sparke, 2006). Then there are the increasing numbers of "bounce backs" who become a problem for local law enforcement in some instances. Enhanced identity verification procedures by CBSA have uncovered substantial instances of DUI conviction, and rendered some U.S. border crossers inadmissible, thus leading to a breaking point. People who have crossed on numerous occasions undetected, and in many instances innocently unaware of their inadmissibility to Canada, have been halted suddenly and jolted by the border.

Along with a more incisive focus on identity verification by the border agencies, some stakeholders observe, is a noticeable shift in the tenor of questioning at the border. "Where is the civility?" remark these stakeholders. Why do we need to endure "unreasonable questions" and "intimidation?" Also apparent is a difference in U.S. and Canadian perceptions and prescriptions of the need for identification and identity verification.

On the U.S. side, according to stakeholders in the tourism industry, the WHTI has "cut the 'rubber tire' of spontaneous travel." In the minds of travelers in both countries the "easy border" no longer exists with the implementation of the WHTI and enhanced security procedures. Identity verification is variously viewed as an imposition, an expense, an added time-consuming requirement, or all of these things combined. The simple solution advanced by many border stakeholders, a national identity card system like the one employed by most countries, is not palatable because of concerns about government contravention of personal rights and freedoms, and identity theft. These concerns are strong, and particularly strong in the United States.

Many stakeholders lamented the fact that spontaneous **travel** is no longer an option. Spontaneity requires ease of crossing and confidence in crossing. Stakeholders see both of these eroded. They underlined that the border "stresses" seniors more, and there are a substantial and growing number of seniors living near the entire length of the BC/WA border who may be avoiding crossing. Other stakeholders note that Canadian seniors are inclined to take organized transportation to U.S. casinos and shopping venues. Tourism has suffered appreciably due to a lack of reliability in crossing and crossing time. Travelers view the border differently. They see it as a sustained and constant "barrier" southbound. (It is the rule). For northbound travelers the boundary is frequently but not consistently viewed as a barrier. The "inconsistency" of security enforcement on both sides, however, leads to uncertainty to the point that travel becomes a "discretionary purchase."

Stakeholders note that Canadians are more prepared to go south than Americans are prepared to go north, yet for both Americans and Canadians cross-border travel concerns relate to trepidation about crossing and waiting at the border. Wait times are the major concern for most, and this breaking point has lead to more "purposeful" and "multi-purpose travel" resulting in a new geography of destinations and combinations including recreation, post office stops, shopping, restaurants, visits to friends and relatives, and business. Places close to or on the border, Blaine, Lynden and Sumas, once frequented by spontaneous travelers are "bypassed." After an extended and often aggravating delay at the border, travelers become tired of the border and "whiz on by," comments a resident of Blaine.

As the border thickens, and wait times expand, breaking points lead authorities to post wait times in order to inform travelers. To avoid the inaccuracies, inconsistencies and lags in website and overhead electronic sign postings, stakeholders have suggested greater emphasis on personal, autobased GPS information on wait times and cues to prepare documentation. "Know before you go" is evolving into "know as you go." Some stakeholders are concerned as well that distinct CBP and CBSA strategies for accommodating anticipated 2010 Olympics travel are not aligned. One aspect of this concern lies with the huge VANOC bus requirement which can only be met with the use of U.S. buses and drivers who will need to cross the border into Canada and then return to the U.S. after the games. No doubt there will be border stories originating around the 2010 Olympics. The games are already referred to as the "border Olympics" by some stakeholders. Border stories change the vision and perception of the border, and this fact will certainly be on the minds of stakeholders as they prepare for the events and watch them unfold.

The impact of enhanced security on **trade** has been reviewed extensively, and breaking points have been identified resulting from greater costs due to regulation, lost time and other predictable factors (Vance, 2008). Stakeholders in the Pacific Northwest identified a breaking point in the fact that NAFTA deepened and broadened trade across the border whereas border process and infrastructure expansion were not aligned with growth in trade. This fundamental problem was exacerbated in the Cascades Gateway where the economy was more dependent on crossing through a funnel between large centers removed from the border. Also, according to stakeholders, trade has encountered breaking points through over-regulation in the trucking industry dominated by smaller firms, and among smaller retailers due to the downturn of spontaneous travel. "Uncertainty drives out smaller businesses first" was the refrain repeated constantly by border stakeholders. The "GMC" or gas, milk and cheese trade has vanished in large part. "High end" acquisitions take travelers further south. In addition, the point was emphasized that border crossers are not seen as customers, although more people are now crossing for economic reasons with the downturn of spontaneous travel.

Lynden, WA, has enjoyed some success in attracting trade by branding itself as an interim and short term destination. The Dutch theme attracts American visitors on route to Vancouver's cruise terminals and Canadians bound south for a day or more of shopping and casinos. Stakeholders in Lynden suggest that Lynden has fared better than Blaine and Sumas because it does not have the "border reaction look" but, then, Lynden is located a small yet significant distance from the actual boundary.

Stakeholders in the **transportation** sector are well organized, active and engaged across the border in associations like the Trans-Border Working Group (TBWG) and the International Mobility and Trade Corridor project (IMTC), yet, a common observation is that the transportation model for the cross-border region requires a more effective measure of the border, a broader culture and thinking, and a higher level of system analysis to work. Although TBWG and IMTC are effective, a lack of coordination remains among transportation agencies because there are so many, and their interests overlap and intersect most prominently at the border. A central problem in the merging of traffic of all kinds, particularly at the highest order crossings, has addressed and averted major breaking points in cross-border systems. "Once trucks, buses and cars were separated there was a 100% improvement." Yet, according to stakeholders, as the systems grow in size and complexity, the border clearance lag will negate the system advances in nodes, processing points, terminals, increased berths and other improvements. "The technology is available but the integration and interoperability are not there." "There is a ten year window for Ottawa and DC, but we poke at solutions." Meanwhile, highly visible breaking points such as the halt on the second AMTRAK train between Seattle and Vancouver gain notoriety, bus travelers need to be viewed as customers rather than inmates, and forward thinking needs to be directed toward intermodal transportation planning at the border "plaza."

One of the observations made most frequently by transportation stakeholders is the expanding west to east orientation of Fraser Valley traffic fed by population expansion and economic development and reinforced by a tighter border. This substantial flow of traffic in Canada has "drop down" effects causing increased utilization of eastern crossing points in the Cascade Gateway.

These observations about transportation lead naturally to a consideration of **infrastructure** issues. A prominent stakeholder concern was the need for "intermodal thinking" in an effort to plan to overcome the "hourglass effects" of the border, lane confusion and blocking, and inevitable gridlock. One example of such a gridlock occurs when accumulated FAST lane trucks block entry into other traffic lanes. Another gridlock results just south of Blaine, WA where the new train X-ray (VACIS) operation blocks two intersections of east-west traffic when the trains are scanned. Larger scale problems result from the more extensive infrastructure and transportation options on the Canadian side versus the U.S. side of the border. Infrastructure coordination breaks down across the border, and infrastructure changes at the border have more gravity. Costs for infrastructure are accrued differently in the U.S. where they are larger public debt, whereas in Canada they are characteristically smaller public/private partnerships. On the one hand this results in infrastructures that do not meet or mesh effectively. This exacerbates an already difficult situation where a rapidly evolving border gallops ahead of an old and outdated infrastructure resulting in operational breaking points.

These and many other operational breaking points are acknowledged by border agency planners as well as community, transportation, and other constituency planners in both countries. Infrastructure advances are dealing with some of the impacts of increased flows, peak flow pressures and gridlock problems. Some solutions, more lanes, more booths, more personnel, more port of entry space, are evident, yet the construction of "smart" and efficient border infrastructure is an evolving process with lessons to be learned along the way. For example, the new border examination facilities under construction at Blaine, WA, feature infrastructural advances to stream traffic more effectively through both primary and secondary examination. Also, there is streaming and differentiation of secondary examination to avoid mingling and confusion of examination procedures. Such facilities now exist in the new POE structures immediately across the border. The U.S. and Canadian facilities, although distinct in architecture, internal design and some functional spaces, do complement each other to establish a new functional border space astride the historic Peace Arch. The integration of this border space, incorporating the Arch, parks, and border facilities, remains to be achieved.



Photograph 1 The New U.S. Border Facilities at the Peace Arch Crossing, Blaine, WA (credit: Richard Clark)

The outmoded infrastructure still prevalent along most of the Canada-U.S. boundary is required to become the platform for a new vigilant technology. This involves retrofitting an advanced technology on an obsolete infrastructure, again resulting in breaking points in border operation. Add to this the problem that technology is not fast enough to keep up with border developments, and that high-tech mobilization is not compatible across the border, and some stakeholders envision major breaking points emerging in these systems. Technology is certainly a major component in training border personnel. Some veteran enforcement officers are disturbed by a trend that sees personnel better trained in the use of technology than "looking people in the eye." Another high technology mobilization scenario sees technology playing a major and increasing role in preclearance away from the border, thus expanding the processing potential at the border and decreasing breaking points. In the interim, technological advances have enhanced the scrutiny and actually increased the ability to identify non-eligible cross-border travelers. On the Canadian side, for example, this technological advance has increased tenfold the 'turn-aways' due to DUI convictions. Stakeholders draw attention to some disturbing trends related to technology enhancements at the border: technology is being pushed by central authorities with central control; military contractors are increasingly engaged in the border security business; and, inevitably, technology is replacing trust at the border.

As one stakeholder notes: "'trust' is local. It cannot be mandated by DC." Community and **society** at the border are in transition, and ostensibly threatened by thickening of the border. "We don't bowl anymore" states a resident of Blaine, lamenting the previous engagements with neighbors in White Rock, BC. For some cross-border societies like the Dutch in the Fraser and Nooksack Valleys, there has been recognizable social breakdown in the cross-border community with the

thickened border. People do not cross regularly anymore for social and cultural events. Gone is the "church rush" across the border when you picked up some groceries as well and stopped at the dairy north of Lynden. The Dutch are not alone in suffering the social impact of a thicker border. East Indian communities across the border feel the impact as well.

A discontinuity emerges, according to one stakeholder, because transnationals are seen as an asset in Canada and a problem in the United States. In addition, border problems and breaking points have created a negative discourse which in turn extends the impact of the difficulties and leads to greater distance between 'thin' and 'thick' border positions and advocates. 'Thin' border advocates assert surprise at border treatment and assert their right to "customer service," emphasizing that "Customers have rights. We have a right to cross the border." Others decry the lack of civility among border authorities, and call for a return of the "Peace Arch celebration." Stakeholders in the smaller cross-border communities in interior BC/WA claim that cross-border society still works, and point to symbolic elements like the "six pack border" which continues to allow Canadians to cross casually for purchases in the U.S. But, "communities at smaller crossings don't interact as easily and spontaneously as they once did before 9/11." Sports teams do not cross as often and there are fewer joint events. All of these seemingly small changes are part of a larger and potentially debilitating alteration of borderlands culture (Sadowski-Smith, 2002).

In some sectors of interest and action, **environment** for example, grass-roots participation no longer is effectively transboundary as it once was (Norman and Bakker, 2008). Stakeholders in environmental organizations and in government point to a thicker border which blocks cross-border integrated action with the result that these stakeholders are working in "silos" on common environmental problems and cross-border environmental issues. Connections and committees do not filter down, and community does not filter up. "Something has happened to cross-border environmental conscience." "We don't galvanize around environmental issues across the border the way we used to." This problem is ameliorated in the boundary waters realm where the International Joint Commission remains a cross-border force with 100 years of experience and political clout (Van Nijnatten and Boardman, 2009). Yet, even here issues arise due to different standards and practices, and breaking points do emerge.

Some environmental stakeholders do feel, however, that advancements in cross-border cooperation are being achieved despite the enhanced border and its negative impact on easy and spontaneous collaboration. One advance is in the area of cross-border air quality alignment where standards may be invoked and agencies, both governmental and non-governmental, have strong legacies of crossborder cooperation. A second advance is in the area of collaboration on dealing with the flooding of the Nooksack River with its cross-border impacts in the Abbotsford area. A third area of advance is with regard to cross-border protection of the Sumas Aquifer.

Border stakeholders point to a long list of issues leading to breaking points in the realm of **governance**. Some of these issues are identified in this list.

- Silos of border programs are run by different agencies but they do not interact. We require better stakeholder integration.
- Agreements under the radar of federal agencies are often effective until discovered to be not binding.
- "The post 9/11 political discourse about the border narrows as the border thickens."
- The border discourse is now in a 'rule bound' state.

- The WHTI removes discretion on authority.
- Rapidly evolving border agencies are subject to "mission creep" and "budget seeking."
- There has been a marked decline in "port authority."
- There is a liability in outsourcing administration and management to the private sector.
- "Do we always have to wait for issues? Even the IMTC is reactive."
- The energy grid is linked across the border with U.S. Feds connecting with Canadian provinces. Herein lies a potential for breaking points.
- "Too much regulation! The collection of fees and taxes is inefficient."
- The policy "wonks" and groups are in Washington and Ottawa not at the regional level where they are needed. Look at the EU models.
- Usually there is no "border person" in a government department, and it does not happen until the "parking lot" is evident.
- "The cross-border discontinuity of 'enforcement' versus 'revenue' leads to breaking points."
- Some cross-border cooperation and integration work better than others. (IBETS vs. transportation vs. tourism)
- "Where is the border vision leading border governance?"
- "No one knows whose job it is to rebuild the border."
- "The rapidly evolving border agencies are experiencing breaking points at the same time that they are attempting to manage a border experiencing breaking points."
- Some rule changes are leading to greater consistency whereas others are leading to more autonomy with the result of breaking points.
- Changes in enforcement have led to an infrastructure/personnel disconnect.
- "What laws apply in the ever-thickening bubble zone?"
- "Top down and bottom up governance are not meeting. Is the IMTC the solution, or just part of the solution?"
- The IMTC model works but the IMTC does not have enough money and it continues to be project driven.

Governance impacts all aspects of border interaction as is apparent from the diversity of issues and concerns in the list above. Some issue areas, environmental governance foremost among these (Alper, 2004), have seen substantial research and attention but many border governance issues remain to be explored thoroughly before they may be better understood (Gilbert, 2005).

The final grouping of breaking point observations relate to the concept of the **gateway** and specifically the Cascades Gateway. Stakeholders, generally with extensive experience with the gateway construct as it emerged, were generous in sharing their thoughts about the gateway and how it worked. One observation repeated often is that the "sides don't mesh" and that the lack of connectivity across the border is a natural source of breaking points.

Others pointed out that the Cascades Gateway is moving east but that the infrastructure is not keeping pace. "Surrey is not developing the east-west connections fast enough, and it is worse on the U.S. side." A gateway does not work well if all of its parts are not working, but a gateway is always in transition. It needs a coherent vision. The border effects are different in different municipalities at the border, and this also changes. Keeping up is a struggle, often pitting ministry versus municipality, state versus locality.

"Ruralness" along the boundary often pre-empts enhanced connection and connectivity at the border. With border thickening in the gateway, "distance" is increased, and Canada and the U.S. are actually farther apart. Keeping this "distance" in mind, planned travel actually aims to go farther, to Spokane or Seattle, rather than to border communities on the other side.

The process of pre-clearance, while contributing to a more efficient border at the line, also increases the size of the borderlands zone, placing more people and space into this zone, and therefore increasing the potential for more breaking points.

The small ports in the gateway see cooperation disappear yet flow through of traffic is enhanced. In Sumas, for example, "The lunch business is cut." "Corridors of trust become corridors of transit." On the Canadian side, gateway municipalities adjacent to the border are inconsistent in engagement to plan and develop in concert with the border: Surrey and Abbotsford engage and Aldergrove is inconsistent. "The Lynden-Aldergrove crossing should be more available. The gateway is not acting like a gateway." Some stakeholders even recommend a separate NEXUS port in the gateway to further stream and channel different flows.

The stakeholder insights provide a base as well for expansion of our thinking about corridors and gateways. Our inclination is to view corridors and gateways as ever more expedient and efficient border crossing constructs in a borderlands geography where time and distance have been reduced at larger border crossings. The evidence in an enhanced security scenario is, however, the opposite. Rather than achieving the reduction of time and distance through infrastructure, technology, intelligence, and other advancements, the corridors and gateways actually revert to the restrictive "hourglass" configurations apparent in border geographies where rapidly moving, multiple lane traffic meets a security checkpoint. Even a substantial investment in infrastructure, technology and personnel remains insufficient without an overall cross-border, integrated vision and coordinated design. One could argue as well that breaking points will continue to occur as long as the gateway system is evolving, and until "we get it right." This calls for substantial, coordinated (vertical and horizontal) planning rather than reactive response to looming crises.

### Waiting is unacceptable

The most visible and exasperating difficulty at the border between the United States and Canada is not terrorism, gun violence, nor illegal immigration. It is the long and usually unpredictable wait to cross the border. Some would point to this inconvenience and say that Canadians and Americans are fortunate not to suffer greater indignities or difficulties at our border (Sands, 2009). Yet, we have become accustomed to an easy, rapid border crossing, not just for personal convenience but for the way in which we conduct business across the border. Consequently, the public, and the stakeholders surveyed in this study, find the waiting unacceptable. An overwhelming majority of stakeholders strongly agreed or agreed "It is unacceptable to wait for an hour or more to cross the Canada-U.S. border" (Figure 8a). Furthermore, most respondents disagreed that "Wait times at the border are acceptable if the lost time enhances our security" (Figure 8b). This response pattern suggests that "security primacy," unassailable and sacred since the events of 9/11, needs to be reviewed and re-

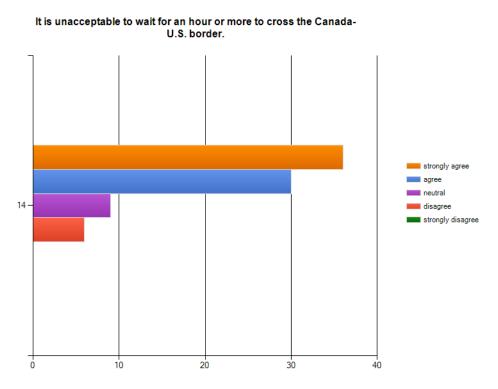
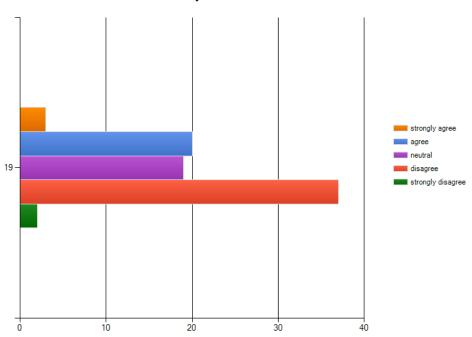
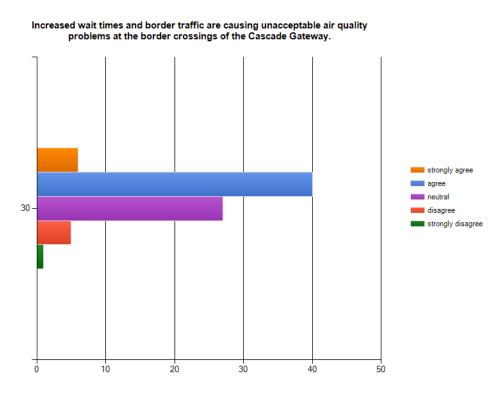


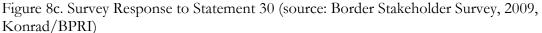
Figure 8a. Survey Response to Statement 14 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)



Wait times at the border are acceptable if the lost time enhances our security.

Figure 8b. Survey Response to Statement 19 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)





evaluated, and a "new security" approach needs to be considered for the border between the United States and Canada. "What about safe and porous?"

Wait times are being associated with breaking points in other dimensions, namely environment. As vehicles idle at check points air quality deteriorates and environmental standards are impacted. Stakeholders tend to agree that "Increased wait times and border traffic are causing unacceptable air quality problems at the border crossings of the Cascade Gateway" (Figure 8c). This problem was recognized and addressed by the Province of British Columbia with the establishment of "no idle" zones at the Peace Arch crossing.

### No common U.S. border policy

Few statements posed in the survey elicited the almost complete, and substantially emphatic agreement that "The border between Canada and the United States is fundamentally different than the border between Mexico and the United States" (Figure 9a). All Canadian respondents were unanimous in agreeing with this statement, and only a handful of American respondents either disagreed or remained neutral. Offered the statement "All borders operate essentially the same," stakeholders disagreed overwhelmingly (Figure 9b). The specific statement "The United States Department of Homeland Security needs to establish and operate a common border policy for U.S. boundaries with Mexico, Canada and the Caribbean" also evoked substantial disagreement and some uncertainty, particularly among U.S. respondents (Figure 9c). These responses are consistent with the substantial reaction against DHS "common outlook" approaches to dealing with all borders of the United States. These reactions have come from the northern border states of the U.S. as well as from Canada, and they have garnered substantial media attention, particularly in Canada. When asked to comment on the "one border" perspective, stakeholders, including retired U.S. enforcement officials

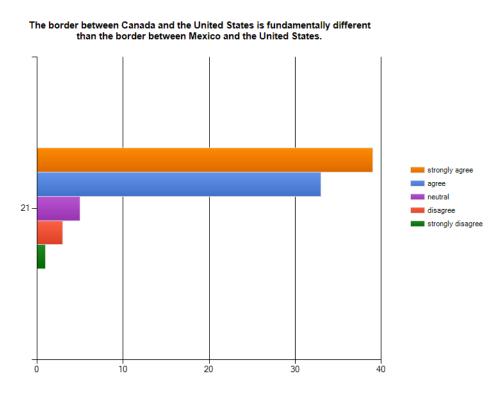


Figure 9a. Survey Response to Statement 21 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

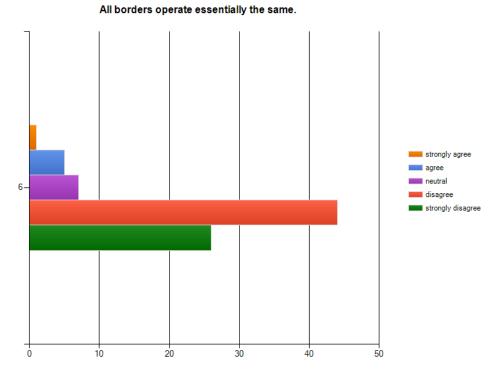
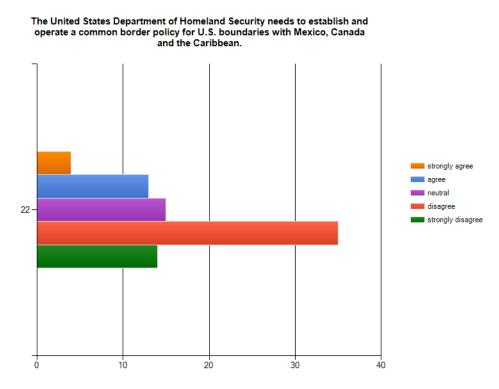
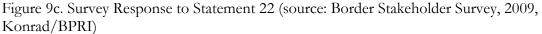


Figure 9b. Survey Response to Statement 6 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

# 29





who were willing to speak to the subject, dismissed the notion as uninformed, unworkable and politically motivated.

#### Reactive border policies address symptoms not causes

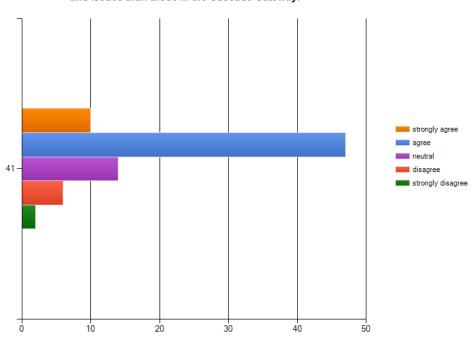
Both American and Canadian stakeholders underlined that the border policies over the last decade have been reactive rather than established according to strategic plans developed with extensive research and testing. In the estimation of some stakeholders it is a "constant process of catch up" to deal with border issues that emerge, and that is what drives policy making for the Canada-U.S. border. The initial reaction of course was the swift security enhancement that occurred after 9/11. Some stakeholders interviewed commented that the reactive process engaged after 9/11 has become a "model" or "template" for dealing with border issues. In a sense policy makers have been spared the time, effort and money to evaluate a potential issue before initiating a response. Also, the veils of "national security" have facilitated rapid response, and reduced the requirements of transparency and accountability. These observations and opinions lead to the disturbing conclusion that we are building or "re-inventing" a 21<sup>st</sup> century border without the careful consideration of what really works, what works best, what is in the interests of Americans and Canadians, and what will last.

If we are not building with an effective and accepted plan, what are we constructing and how are we doing it? The consensus of stakeholders is that we are addressing symptoms, not causes. Even the implementation of smart and well articulated new border policies, the "no idle" program at Peace Arch for example, are viewed among some stakeholders as "band-aid" solutions. The fact that the policy only holds for southbound traffic supports this view.

#### Ports of entry are different with different problems

"Seen a border crossing, seen *one* border crossing" states a prominent border stakeholder. The recognition that ports of entry are essentially different with different problems helped to evolve the "port authority" approach that prevailed in the 20<sup>th</sup> century. Some stakeholders feel that this proven, localized, sensitive and effective approach has been lost with the centralization of enforcement, the reliance on technology and the substantial turnover of staff with retirements and new recruit expansion. Others feel more strongly that it has been squandered in the rush to establish tighter controls in the post 9/11 security crisis.

One of the goals of this project was to evaluate stakeholder views outside the Cascade Gateway and to examine border issues at different ports along the BC/WA boundary. Stakeholders agree that "Border crossing in the interior of BC and WA have different priorities and issues than those in the Cascade Gateway" (Figure 10a). Yet, they are split in their opinions about whether "Smaller border crossings and ports of entry experience fewer and smaller problems" (Figure 10b). In the interviews, stakeholders raised numerous examples of issues and problems, specific breaking points, and special characteristics unique to the port of entry, corridor or gateway. Yet, all agreed that some common issues did prevail at most if not all border crossings. The solution most often cited was to maintain discretion and "port authority" while relating to national policies, interpreting bi-national accords with sensitivity, and accessing international data bases. In essence this is thinking and acting, locally, nationally and globally.



Border crossings in the interior of BC and WA have different priorities and issues than those in the Cascade Gateway.

Figure 10a. Survey Response to Statement 41 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

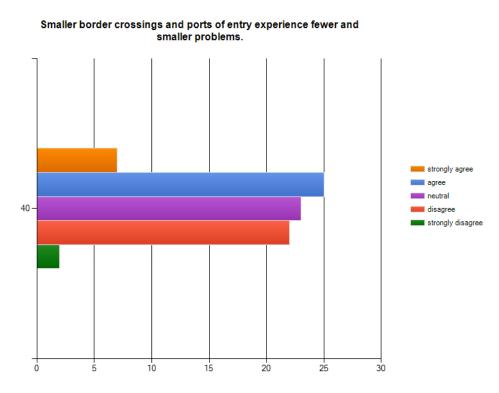


Figure 10b. Survey Response to Statement 40 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

### The Upside of Canada-U.S. Border Culture: The Border is Not 'Broken'

#### The border is not 'broken'

Reports of a 'broken' border are exaggerated. Even in the most difficult of crises along the Canada-U.S. border, the border systems have not been devastated beyond repair. A majority of stakeholders surveyed disagreed that "The border between the U.S. and Canada is broken" (Figure 11a). The distinction between acknowledging breaking points and not accepting that the border is broken is immensely important. Both American and Canadian border stakeholders are quick to point to difficulties with the border and how it works. This is not because they feel that the border is broken, but rather it is because they feel grounded in the borderlands culture and confident in the relationships and arrangements across the border. They value a border that works and they are committed to making it work. Almost all respondents, both American and Canadian agreed with the statement "I am confident that the strong borderlands culture that has prevailed between Canada and the United States will sustain a positive approach to building a better border for the 21<sup>st</sup> century" (Figure 11b). The recognition and concurrence that the border is not broken may erode, however, if the breaking points and the factors contributing to the *downside* continue to erode stakeholder and the larger public optimism about a workable border for the 21<sup>st</sup> century. The remarkable resilience of border stakeholders to the growing and sustained challenges of dealing with an evolving border has been a hallmark of the U.S.-Canada border. This patience, and the stoicism of 'borderlanders' generally, may not prevail if border crossing conditions do not improve. Already, spontaneous travel has been curtailed with significant negative results for people living in the border region, particularly for small business, seniors and those who value social integration across the border. The border is not broken, but we may find that we are in a period of grace before it does break.

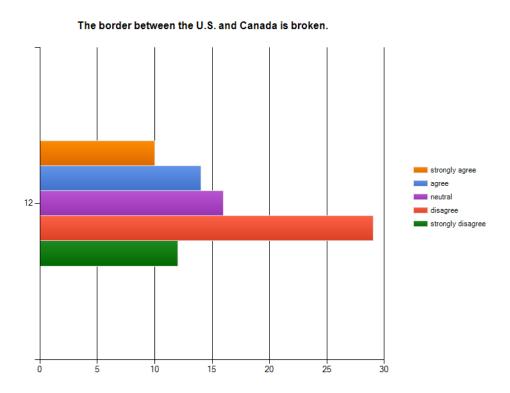


Figure 11a. Survey Response to Statement 12 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

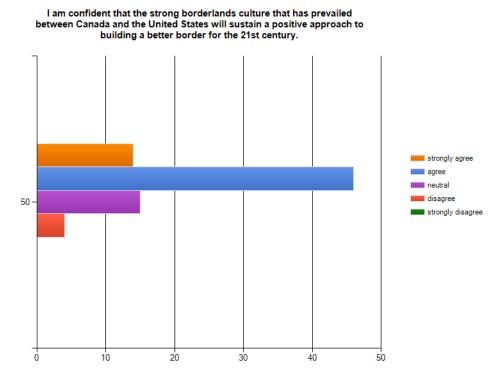


Figure 11b. Survey Response to Statement 50 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

#### Stakeholders know the border and know how to make it work

One of the primary reasons for this lasting confidence is that stakeholders know the border, and, specifically, they know the border in their region and locality. There are several components to this commitment. First, there is an exceptional, strong belief that "People who live close to a border have a stake in the management of the border" (Figure 12a). Almost all stakeholders except for ten agreed. Only five respondents were neutral. This strong belief is grounded in both American and Canadian creeds of rights and freedoms, and substantiated by more than a century of benign border practice. "The border always used to work, and we make it work" claims one stakeholder. This sentiment, and the general sense that stakeholders know the border, and what is best for the border, is also reflected in the survey response, yet some of the strength of agreement falls away in response to "The stakeholders who live and work in our cross-border region know best what is good for this region" (Figure 12b). Most of the strong agreement, however, moves to the 'agree' and 'neutral' categories rather than to disagreement with the statement. A similar and consistent pattern of response is evident to the statement "The most effective way of dealing with 'breaking points' is to develop local solutions" (Figure 12c). Both the survey results and the interviews support a prevailing view among stakeholders that they know the border, know what is best for the border relationship in the cross-border region, and can come up with the solutions for problems at the border.

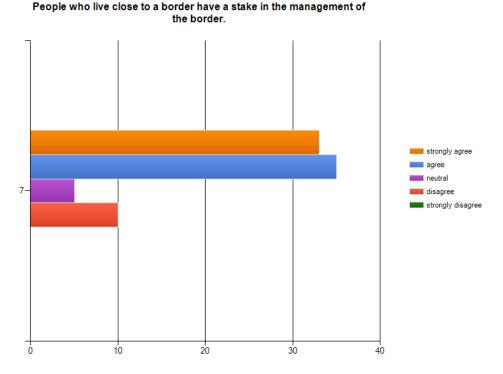


Figure 12a. Survey Response to Statement 7 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

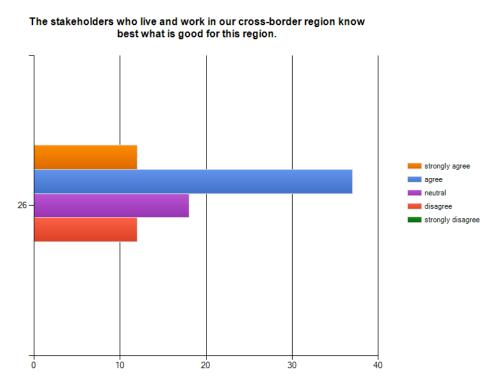
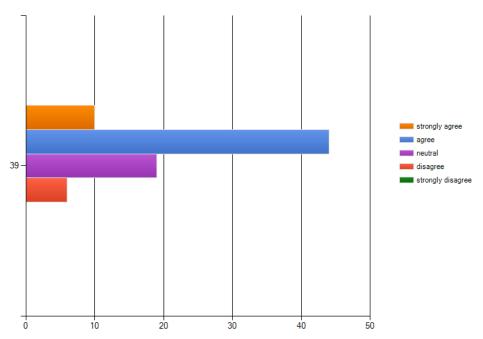


Figure 12b. Survey Response to Statement 26 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)



The most effective way of dealing with 'breaking points' at the border is to develop local solutions.

Figure 12c. Survey Response to Statement 39 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

#### Balanced approaches work best

Yet, these stakeholders are realistic and pragmatic Americans and Canadians who acknowledge and understand the nature of an international boundary. Unanimously, they endorse a balanced approach. The response to the survey statement "There needs to be a balance between local stakeholder (bottom up) and national stakeholder (top down) management of the border in our region" is overwhelmingly positive with only one person disagreed and six neutral (Figure 13a). A statement expressing a similar sentiment, with more emphasis on the federal mandate received almost the same pattern of response (Figure 13b). Clearly, stakeholders acknowledge the role of the federal government in border management, yet they maintain that this role cannot and should not be exclusive.

The underlying basis for this belief in balance is due in part to the fundamental understanding among stakeholders that "A border serves both as a bridge and a boundary" (Figure 13c). Furthermore, almost all stakeholders agree as well that "The border between the United States and Canada needs to balance sustained mobility with enhanced security" (Figure 13d). A balanced approach, the engagement of 'top down' and 'bottom up' directions in border management, the mediation of scales of governance, and, eventually a working model for integrated border management, are all part of a growing discourse of border management in the Pacific Northwest. The balance, however, remains to be achieved.

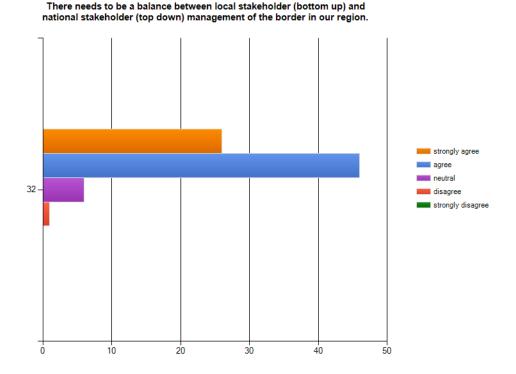


Figure 13a. Survey Response to Statement 32 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

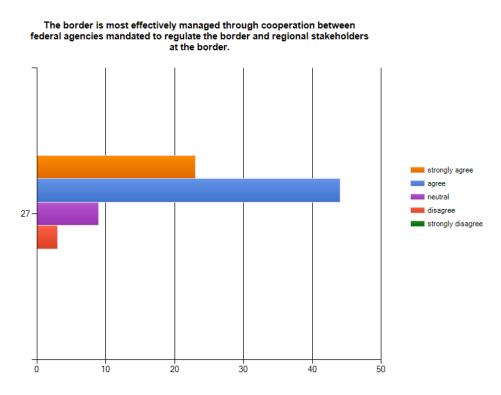


Figure 13b. Survey Response to Statement 27 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

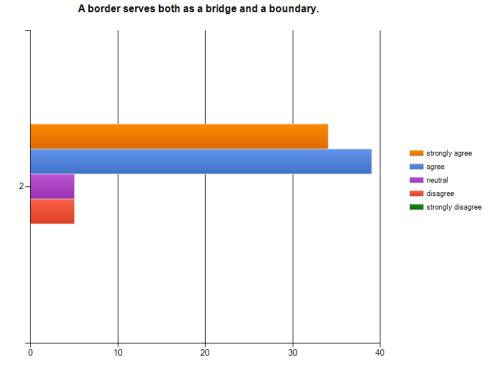


Figure 13c. Survey Response to Statement 2 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

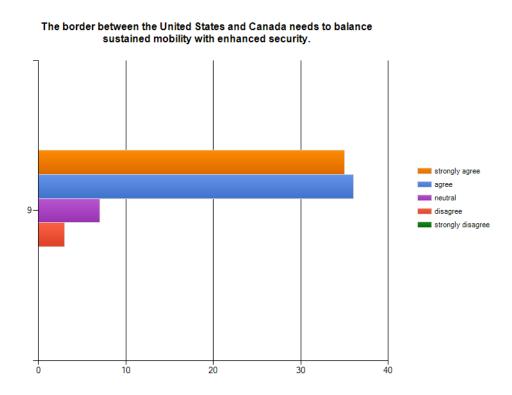


Figure 13d. Survey Response to Statement 9 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

#### Technology and infrastructure are only part of the solution

Enhanced border management through advanced technology and expanded infrastructure remains an incomplete project as well (Muller, 2009). Also, stakeholders remain to be convinced that technology and infrastructure comprise the solution to breaking points and other difficulties at the border. The survey response is positive to the statement "Infrastructure and technology enhancements in the Cascade Gateway are producing a more effective and efficient border crossing system in the crossborder region" (Figure 14a). A large number of respondents remain neutral indicating that they are not sufficiently informed and not convinced. Neutral respondents also comprise the second strongest category to the statement "Transportation infrastructure developments have enhanced mobility across the border" (Figure 14b). When asked to register an opinion on the potential of technology, however, respondents were more positive: "Enhanced technology will enable us to build a better border between the U.S. and Canada" (Figure 14c). Respondents were just slightly more split in their opinions to the statement "I believe that comparable, consistent and electronic identity verification is necessary for our borders to work effectively" (Figure 14d). The results of the survey and the interviews combined show that most stakeholders are supportive of technology and infrastructure enhancements at the border, yet they remain skeptical about these enhancements as a "blanket solution" to border problems. Stakeholders interviewed held reservations about "throwing technology at the problem" and "building and rebuilding a massive border infrastructure." Some of the stakeholders were concerned about the costs of constant technological redundancy whereas others were concerned that massive investments were being made in advanced technology without sufficient accountability and transparency. The ultimate concern is that these enhancements are reactive, as indicated earlier in this report, and that no national vision, and certainly no integrated cross-border strategic plan guides these massive changes at the border.

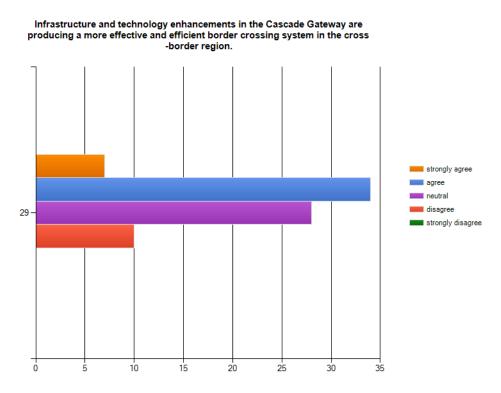
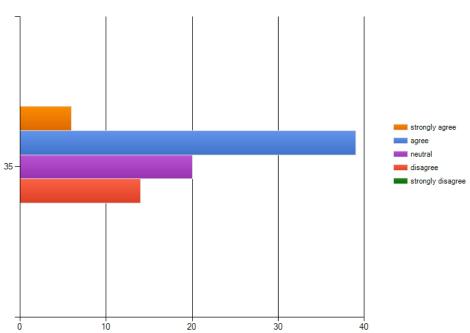


Figure 14a. Survey Response to Statement 29 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)



Transportation infrastructure developments have enhanced mobility across the border.

Figure 14b. Survey Response to Statement 35 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

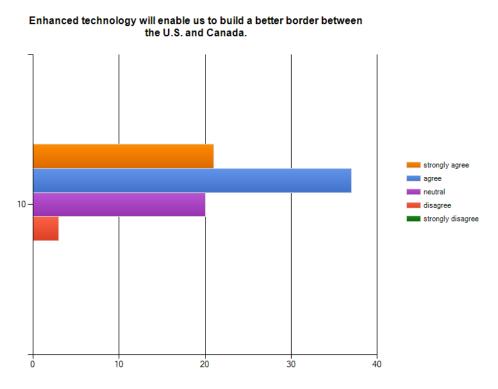
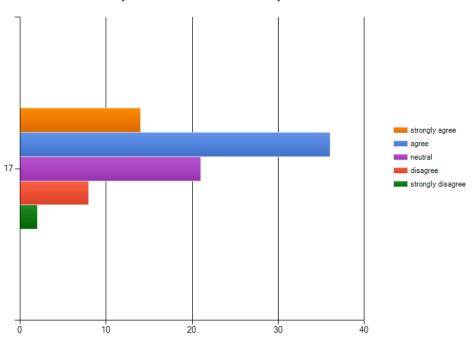


Figure 14c. Survey Response to Statement 10 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)



I believe that comparable, consistent and electronic identity verification is necessary for our borders to work effectively.

Figure 14d. Survey response to Statement 17 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

#### Accommodate regional differences

All stakeholders interviewed in this study acknowledged regional differences along the border between Canada and the United States, but there were many different approaches offered to accommodate the regional differences in the management of the border and the development of border policy. We need to comprehend more fully how this acknowledgement of regional differences is possible among stakeholders who would advocate very different approaches to accommodation. The survey posed the statement "The 21<sup>st</sup> century border between the U.S. and Canada needs to accommodate regional differences" (Figure 15a). Most stakeholders agreed, few strongly, and even fewer were opposed or neutral. Yet, even the small opposition does point to the divergence that begins when stakeholders are asked to align with positions on how to accommodate regional differences. A very few respondents simply would not accommodate differences. This divergence is linked to the national/subnational divide in border identification, namely the "two camp" situation described previously. This is not, however, a simple divergence of federal employees aligning with a nation first approach and all others living in the region tied to a regional perspective. A substantial number (approximately 30%) of the stakeholders interviewed and surveyed were active or retired federal employees in either the U.S. or Canada. Many of these respondents indicated not only a keen awareness of regional differences but also carefully conceived and articulated solutions. The key appears to be to engage the national and subnational stakeholders in truly integrated approaches within regional cross-border contexts. The IMTC is an example of such an engagement. Almost all stakeholders agreed that "The Pacific Northwest region is developing approaches to the 21<sup>st</sup> century border (eg. IMTC) that will be useful in other border regions" (Figure 15b). As border stakeholders in the Cascade Gateway, and in the Pacific Northwest cross-border region more generally, build collaborative approaches to border challenges that really work, the tendency may be to engage in more cooperative initiatives rather than the "silo" approaches referred to as the norm by some of the stakeholders.

#### Build trust and make it work

Border stakeholders agree with the media pundits and the public at large, particularly in Canada, that a "trust deficit" has emerged or become more visible between the United States and Canada. It is at the border where this lack of trust has become apparent. Stakeholders feel that this problem needs to be addressed. A majority of stakeholders support the statement "We need more trust in the border relationship between Canada and the United States" (Figure 16a). How do we achieve this trust? The stakeholders interviewed feel that the IMTC is an excellent example of the development and reinstatement of cross-border trust, and survey respondents agreed that the IMTC is a successful example of cooperation (Figure 16b).

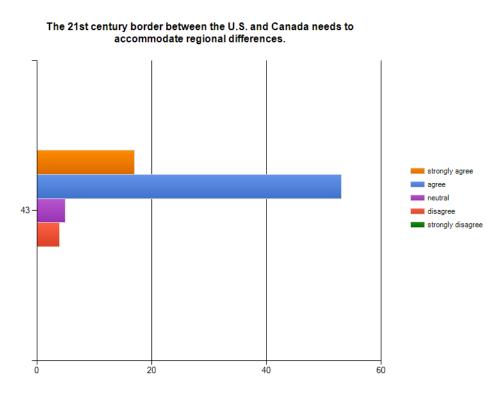


Figure 15a. Survey Response to Statement 43 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

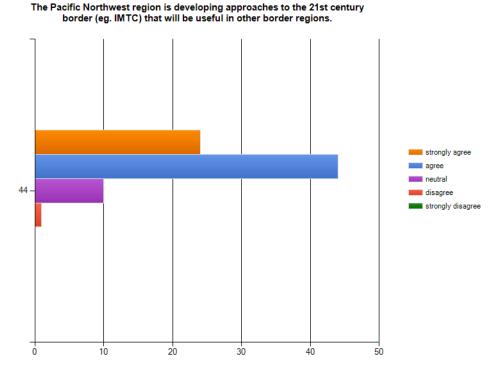


Figure 15b. Survey Response to Statement 44 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

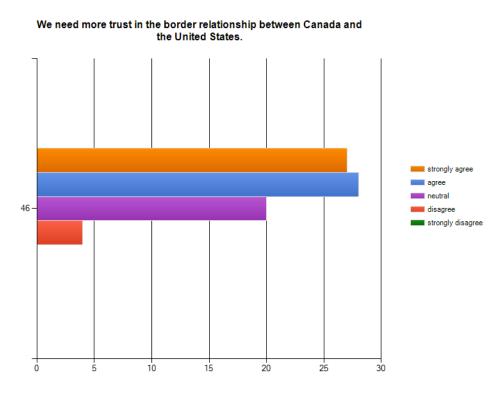


Figure 16a. Survey Response to Statement 46 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

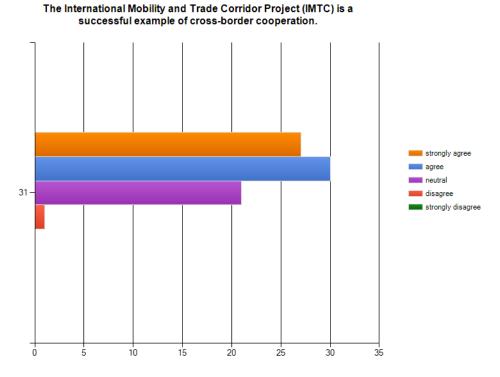


Figure 16b. Survey Response to Statement 31 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

Yet, some stakeholders raised the concern that "the IMTC can't get its business up the line," meaning that the IMTC had reduced influence and clout beyond the local and regional level. Many stakeholders spoke of the need to build cooperation and trust through existing cross-border constructs such as PNWER and to initiate new approaches to cooperation that would focus directly on the border and operate at scales beyond gateways and corridors. When pressed on this point, most felt that both existing structures and new initiatives were desirable, and that coordination among the stakeholder initiatives was critical. The question remains who or what will have the political clout to address and solve problems? Currently, PNWER garners substantial clout yet it does not focus on the border alone. Some stakeholders feel that more focus is possible through the mechanism of a border forum. The survey statement "We need a 'Border Forum' to discuss issues of security, prosperity and mobility" received substantial endorsement and little opposition although twenty respondents remained neutral (Figure 17a). More agreed that "A 'Border Forum' could link 'bottom up' border management with 'top down' border regulation" (Figure 17b). The suggestion of a border ambassador or ombudsman to deal with issues at the border received majority support but ambivalence and opposition as well (Figure 17c). The idea of a community border 'watch' to bring border issues to the attention of authorities also received a strong neutral response (Figure 17d).

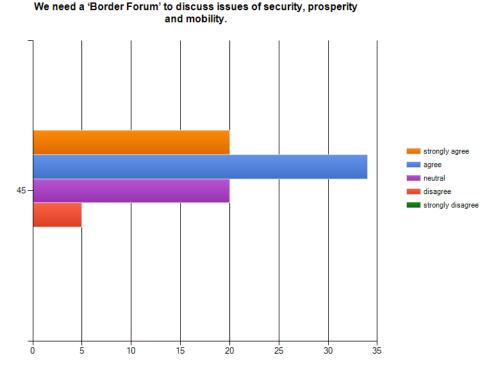


Figure 17a. Survey response to Statement 45 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

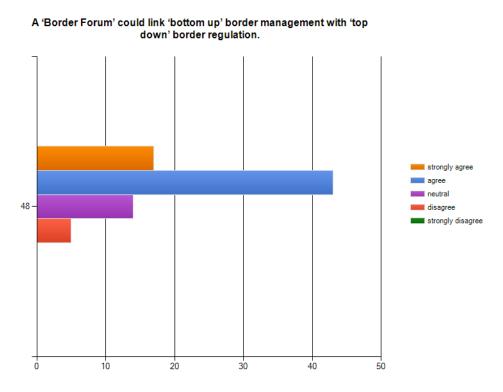
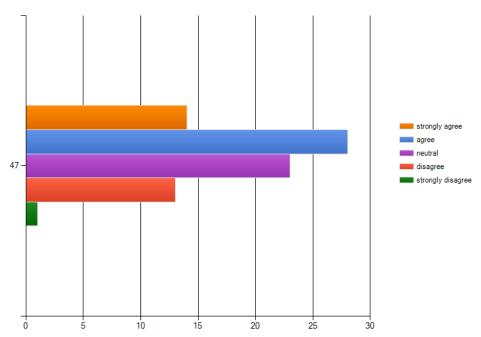


Figure 17b. Survey Response to Statement 48 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)



We need a border ambassador or ombudsman to deal with issues that arise at the border.

Figure 17c. Survey Response to Statement 47 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

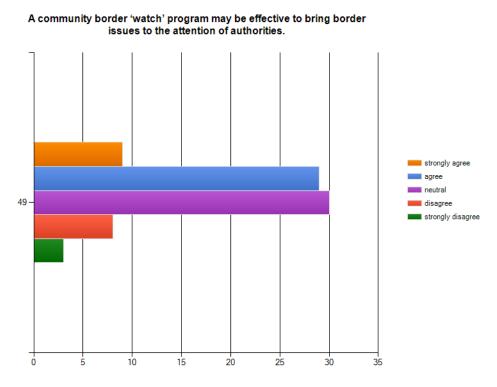


Figure 17d. Survey Response to Statement 49 (source: Border Stakeholder Survey, 2009, Konrad/BPRI)

### Stakeholder Consensus?

Whereas the border stakeholders interviewed and surveyed in this study do vary predictably in their beliefs, attitudes and opinions about the border, and they do advocate different approaches to dealing with issues at the border, they are consistent to a high degree in their agreement that there are breaking points and what these breaking points are. Stakeholders are confident as well that these breaking points do not constitute a broken border. Most important, stakeholders have developed a loose and formative consensus about what requires attention at the border, and even general guidelines to achieve a better border for the 21<sup>st</sup> century. The approaches, however, do remain in the formative stage as stakeholders explore the different possibilities for more effective border development and management in a rapidly evolving policy environment.

How do the stakeholders align their consensus? If it is possible to identify consensus groups, it may be possible as well to suggest or reinforce approaches to make consensus work.

In this study, two prevailing patterns of consensus are apparent. One is the "two camps" notion introduced with regard to the implementation of expanded and extended security measures. The interviews and the survey both confirm that stakeholders are polarized into two consensus groups as the questions and statements relate more directly and explicitly to security imperatives and measures to ensure security. One group supports security unequivocally, and it hardens its position as questions are posed about human rights, profiling practices, wait times and militarization of the border. The other group supports a more open, easy, porous, friendly and recessed border, and it does so for a vast range of reasons varying from business advantage to liberal ideology. The second prevailing pattern of consensus is that Canadians and Americans align across the border rather than on either side of the border. This pattern is evident in the "two camps" because they do extend across the border. Yet, the pattern is expressed even more demonstrably in a set of consensus groups aligned with clusters of issues.

These consensus groups are comprised of stakeholders who come together on matters of mutual concern and interest. Some of these groups have deep roots because issues are recurrent and crossborder collaboration and action is called for on a regular basis. Some are more recent. All of the groups are dynamic and they are not mutually exclusive. Stakeholders in one consensus group may align with another consensus group or groups as well. In this study, the groups that are most apparent are environment, security, trade, mobility and community. The environment consensus group is well established with a strong history of collaboration in the cross-border region. Stakeholders in this group originate from a wide range of affiliations in government, business, organizations and institutions throughout the international region. They are most strongly aligned with the community consensus group of stakeholders. In contrast, the security consensus group is the most recent and the most isolated consensus group although some members of this group are aligned with community, particularly if they are long time residents of the area and retired in the cross-border region. The community consensus group responds to border issues that affect the community of the stakeholder and border communities in general. Stakeholders from smaller communities adjacent to the border form the core of this consensus group whereas stakeholders from larger communities more removed from and less impacted by the border are more on the fringes of this consensus group. Trade, like environment, draws from a wide range of affiliations. This consensus group exhibits the strongest unanimity of response to border issues, next only to the security consensus group. A final group, mobility, also draws widely with affiliates from all levels of government as well as organizations and institutions. This consensus group varies to a greater extent in stakeholder opinions, attitudes, suggestions and positions on border issues related to transportation and flows in the cross-border region.

## Making Stakeholder Consensus Work: the IMTC and other models

It appears appropriate then that the International Mobility and Trade Corridor Project (IMTC) has as its core group of stakeholders representatives of the mobility and trade consensus groups, but also represents and engages all of the consensus groups identified above. Consensus group representation and engagement are the approaches of the IMTC.

"The IMTC is a U.S.-Canadian coalition of government and business entities that identifies and promotes improvements to mobility and security for the four border crossings that connect Whatcom County, Washington State and the Lower Mainland of British Columbia. Together these four crossings are called the Cascade Gateway. The goals of the IMTC project are to: 1) Facilitate a forum for ongoing communication between agencies responsible for regional, cross-border transportation, safety, and security. 2) Coordinate planning of the Cascade gateway as a transportation and inspection system rather than as individual border crossings. 3) Improve and distribute traffic data and information. 4) Identify and pursue improvements to infrastructure, operations, and information technology." (IMTC, 2009)

The IMTC was established in 1997, well before the events of 9/11 and the security emphasis that has ensued and expanded since that time. In this regard the IMTC has provided a venue and a forum for stakeholder interaction over more than a decade of rapid and extensive change in the cross-border region and specifically in the Cascade Gateway. Many of the policy responses and solutions to problems at the border have originated through communication and consultation at the IMTC. The IMTC model makes stakeholder involvement work, and more important, it makes it work through consensus group representation and engagement.

Stakeholder consensus as enabled and articulated by the IMTC clearly works to develop and adjust border policy at the scale of the Cascade Gateway. Can this model work in other corridors and gateways? Can it work "up the line" at the regional scale, and even at the national scale? When asked these questions both respondents to the survey and the stakeholders interviewed were uncertain about how to achieve the exceptional stakeholder engagement and consensus beyond the "Gateway," although the stakeholders did suggest that the model should work in other rapidly changing cross-border "flow" areas. The success of the IMTC at the local to sub-regional level, and the concomitant lack of a working model for border stakeholder engagement and cooperation at other scales, underlines the need to explore more fully the options suggested as well as other alternatives at regional to national scales. Currently, the Pacific Northwest is served effectively in this regard by PNWER, as are other cross-border regions with similar collaborative governance forums. Yet, border focused regional forums and organizations do not exist solely to evaluate and build better border policy.

## **Conclusions and Border Policy Recommendations**

In a parallel study of "Stakeholder Views on Improving Border Management" in the WA/BC border region, Don Alper and Bryant Hammond report six "common threads" evident in their findings. They are: 1) The Canada-U.S. border serves a vital security function. 2) Customer service is an important aspect of border management. 3) Coordination/collaboration is highly important and should be encouraged to the greatest extent possible. 4) Privacy issues relating to IDs and screening are not the impediment they are perceived to be. 5) Scale and perspective matters in policy thinking. 6) Border officials and bus company managers agree that bus processing issues need to be addressed (Alper and Hammond, 2009). Their study, aimed at identifying from detailed interviews of 46 stakeholders what may be done to improve the border management practices, reveals findings

consistent with those identified for the same region, and from some of the same stakeholders interviewed and surveyed in my study. Yet, the studies are different in their objectives and approach, and the findings are different.

This study aims to identify extensive views, dispositions and attitudes about the border, how it has changed, and what works and does not work. The underlying premise of this research is that it is theoretically and empirically possible to identify and characterize the breaking points that occur as the border systems undergo stress and change. These findings lead to policy recommendations similar to those identified by Alper and Hammond as well as conclusions about the changing geography of the Canada-United States border in the Washington/British Columbia boundary area.

The findings may be summarized as both downsides and upsides of a rapidly evolving border. On the top of the downside list is the problem of security primacy. This finding does not question or disagree with the vital security function of the border acknowledged by stakeholders responding to both studies. It does underline the importance of recognizing the overwhelming impact of security primacy on all other functions at or near the border. As one respondent states: "The immense new security force has taken out the healthy cross-border community."

This security primacy, as well as other change related factors, are resulting in breaking points of various kinds and degrees in all sectors of border activity including the security enforcement regime, identity verification associated with it, travel patterns and practices, trade, transportation systems, infrastructure, technology applications, social networks across the border, shared environment, various levels of governance, and the emerging gateway construct at the center of the evolving border region. Changes inevitably lead to discontinuities and these lead to breaking points as illustrated both theoretically and empirically in this research. And discontinuities lead to faults that halt systems.

The result is waiting, and stakeholders in this region, as well as elsewhere along the Canada-U.S. border do not tolerate waiting. "We live in a border area where waiting has little historical precedent or currency" offers one stakeholder. Another adds: "We shouldn't wait to move from one globalized country to another when all of our other communications are expedited."

Yet, whereas stakeholders acknowledge growing North American globalization and interaction, they are quick to emphasize that no common border policy will work for all U.S. borders, and that the border between Mexico and the U.S. differs substantially from the border between the U.S. and Canada. Indeed, some stakeholders point to statements of common border policy as an indication of reaction without careful consideration of the facts and patterns, and without planning based on local and regional border experience.

Reactive border policies address symptoms, not causes of problems, claim most of the border stakeholders. These reactive policies, furthermore, may lead to breaking points more easily and directly because inherent in these policies are the initial conditions of destabilization.

Finally, stakeholders recognize that ports-of-entry are all different, and that all POEs have unique circumstances and different issues. They are after all complex interaction loci where different crossborder flows and scenarios occur, and consequently standardized and centrally tailored policies need to be adjusted in order to avoid breaking points.

With all of these downsides and potential faults in the evolving cross-border systems, it may appear strange that the breaking points that have occurred also have not resulted in a massive collapse in the operation of the border. Actually, the closest point to breakdown occurred immediately after the events of 9/11 when the border was closed momentarily by the U.S. Since then, and despite

drastically enhanced security measures the border has not broken. This is clearly an upside. Stakeholders acknowledge that the border is not broken, they recognize that there is an underlying culture of cooperation and collaboration at work, and as Alper and Hammond confirm, these stakeholders are proud of the effective operation of the border in the Pacific Northwest.

The stakeholders are unanimous in their insistence that this is due in large part to the fact that stakeholders know the border, they know how to make it work, and they are asked to participate in making it work.

By their very nature border relationships are efforts in balance. Stakeholders recognize this and constantly advocate balanced approaches to border issues because from their experience these balanced approaches work best, and eventually border policy must come down to compromise and adjustment between sovereignties, particularly at the local level where interaction is constant and extensive.

Nowhere is balance more important than in the adoption and implementation of new technologies and the extensive construction and reconfiguration of infrastructure. Stakeholders caution that these infrastructural and technological advances need to be measured and planned in coordination with other changes at the border. This message is being heard as stakeholders work together across sectoral interests and silos of management and authority to build a better border for the 21<sup>st</sup> century.

Another message that resounds from the stakeholders is the need to accommodate regional differences. This message too is being acknowledged by federal representatives in the region and increasingly by Ottawa and Washington, DC.

Finally, and perhaps most gratifying is the evidence that stakeholders are building and re-building trust at the local and regional level through structures like the IMTC and PNWER, and making it work in the border region of the Pacific Northwest.

This study concurs with the conclusion of Alper and Hammond that stakeholders are critical in the process of developing effective border policy. In fact, that is one of the major findings of this study and it underscores the importance of supporting and facilitating the work of border stakeholders and stakeholder groups as they dedicate time and effort to building a better border. Border management policies are ideally a combination of top down national laws and guidance and bottom up best practices based on relationships and experiences that make the border work. This acknowledgement in the Pacific Northwest, and specifically in the Cascade Gateway and other crossings between Washington State and British Columbia, has led to innovations such as NEXUS, IBETs and the EDL. More innovation and effective border management are imminent if the border stakeholder community is nurtured, challenged and supported in its work, for inherent in this community are the very ideals which form, as one enforcement official states so succinctly, "the commonality that holds us together across this border." Our goal, he goes on to say is the "balance of free flow and trade with well being and safety."

In order to achieve this goal, we need to acknowledge the breaking points and go about fixing them. In some instances this may be accomplished by addressing individual problems. Yet, the recommendations that follow are aimed at addressing broader concerns underlying these breaking points outlined previously in the report and listed in these conclusions. The five recommendations are derived from the current stakeholder survey and interview project and placed in the context of other border research on the Canada-U.S. border, and border regions more generally. They are:

### 1) Empower Stakeholders

Border stakeholders need to be encouraged and supported in their efforts to help with the immense task of guiding the evolution of their border. This requires financial support, organization, facilitation and many other aspects, but foremost it requires recognition of their role and their contribution.

#### 2) Encourage Cooperation

This recommendation has been identified by most border researchers and observers but it bears repetition and emphasis. It is important to emphasize this point as well during periods of extensive change at the border when new personnel, new rules, new operational guidelines, and new imperatives tend to reinforce old silos of operation and develop new ones.

### 3) Humanize Security

The finding of security primacy, and the numerous references by stakeholders to militarization and even intimidation at the border, need to be addressed. Humanizing security should be just as much of an imperative as making certain that security is effective and efficient. More civility is required. This recommendation aligns with the stakeholder call for "customer service" reported by Alper and Hammond.

### 4) Acknowledge Diversity

Canada and the United States are both immigrant nations where diversity is a fact of life. Visible minorities are growing in number and representation among our citizenry. Yet, some procedures at the border, carried out ostensibly to protect our countries and enhance security and safety, do not acknowledge diversity. This needs to change.

#### 5) Manage Change

Changes at the Canada-U.S. border over the last decade have been enormous, and seemingly beyond our control, and perhaps even out of control. In the second decade of the 21<sup>st</sup> century we need to manage change at the border by implementing coordinated cross-border planning developed with vision, through collaboration, with the integrity grounded in our time-honored border relationship.

## REFERENCES

Abelson, D.E. and D. Wood (2007) *People, Security and Borders: The Impact of the WHTI on North America* (Ottawa and Washington: Foundation for Educational Exchange between Canada and the United States of America and Accenture).

Agnew, J. (2008) "Borders on the Mind: Reframing Border Thinking," *Ethics and Global Politics* 1, 4: 175-191.

Alden, E. (2008) The Closing of the American Border (New York: Harper Collins).

Alligood, K.T., T. Sauer and J.A. Yorke (1997) *Chaos: an introduction to dynamical systems* (New York: Springer Verlag).

Alper, D. (2004) "Emerging Collaborative Frameworks for Environmental Governance in the Georgia Basin-Puget Sound Ecosystem," *Journal of Borderlands Studies* 19, 1: 79-88.

----- and B. Hammond (2009) *Stakeholder Views on Improving Border Management*. Border Policy Research Institute, Research Report 8.

Andreas, P. and T.J. Bierstecker, (eds.) (2003) The Rebordering of America (New York: Routledge).

Arnold, V.I. (1992) Catastrophe Theory. Third Edition (Berlin: Springer Verlag).

Bradbury, S.L. and D.E. Turbeville (2008) "Are Enhanced Trade and Enhanced Security Mutually Exclusive? The Western Canada-U.S. Borderland in a Post-9/11 World," *American Review of Canadian Studies* 38, 3: 317-40.

Brunet-Jailly, E. (2004) "NAFTA, Economic Integration and the Canadian-American Security Regime in the Post-September 11, 2001 Era: Multi-Level Governance and transparent Border?" *Journal of Borderlands Studies* 19, 1: 71-93.

----- (2005) "Theorizing Borders: An Interdisciplinary Perspective," Geopolitics 10: 633-649.

-----(ed.) (2007) Borderlands, Comparing Border Security in North America and Europe (Ottawa: University of Ottawa Press).

Canada, Canada Border Services Agency (2007) "Partners in Protection" <u>http://www.cbsa-asfc.gc.ca/general/enforcement/partners/menu-e.html</u>.

Canada, DFAIT (2000) "Creating Tomorrow's Border Together—The Canada-United States Partnership (CUSP)" <u>http://geo.international.gc.ca/can-am/main/border/creating\_cusp-en.asp</u>.

Canada, Policy Research Initiative (2008) The Emergence of Cross-Border Regions Between Canada and the United States, Final Report (Ottawa: PRI).

Canada, Royal Canadian Mounted Police (2005) "Integrated Border Enforcement Teams (IBETs)" <u>http://www.rcmp-grc.gc.ca/security/ibets\_e.htm</u>.

Cox, F.R. (1992) "Range in soil phosphorus critical levels with time," *Soil Science Society of America Journal*, 56: 1504-09.

Drache, D. (2004) Borders Matter: Homeland Security and the Search for North America (Halifax: Fernwood).

Farson, S. (2006) "Rethinking the North American Frontier after 9/11," *Journal of Borderlands Studies* 21, 1: 23-45.

Gattinger, M. and G. Hale (eds.) (2010) Borders and Bridges: Navigating Canada's Policy Relations in North America (Toronto: Oxford).

Gilbert, E. (2005) "Leaky Borders and Solid Citizens: Governing Security, Prosperity and Quality of Life in a North American Partnership," *Antipode* 39, 1: 77-98.

Gladwell, M. (2000) *The Tipping Point: How Little Things Can Make a Big Difference* (Boston: Little, Brown).

Goldfarb, D. (2007) Reaching the Tipping Point: Effects of Post-9/11 Border Security on Canada's Trade and Investment (Ottawa: Conference Board of Canada).

Greene, B. (1999) The Elegant Universe: Superstrings, Hidden Dimensions and the Quest for the Ultimate Theory (New York: Vintage), 104.

International Boundary Commission (2009) http://www.internationalboundarycommission.org/

International Mobility and Trade Corridor Project (2009) *IMTC Resource Manual* (Bellingham, WA: Whatcom Council of Governments).

Kellert, S.H. (1993) In the Wake of Chaos: Unpredictable Order in Dynamical Systems (Chicago: University of Chicago Press), 32.

Konrad, V. and H. Nicol (2004) "Boundaries and Corridors: Rethinking the Canada-United States Borderlands in the Post-9/11 Era," *Canadian-American Public Policy* 60 (Orono: University of Maine Press).

----- (2008) Beyond Walls: Re-Inventing the Canada-United States Borderlands (Aldershot, UK: Ashgate/Border Regions Series).

Loucky, J., Alper, D. and J.C. Day (eds.) (2007) *Bio-Regions and Coastal Corridors: Transboundary Policy Challenges in the Pacific Border Regions of North America* (Calgary: University of Calgary Press).

Muller, B. (2009) "Borders, Risks, Exclusions," Studies in Social Justice 3, 1: 67-78.

----- (2010) Security, Risk and the Biometric State: Governing Borders and Bodies (New York: Routledge).

Nicol, H. (2005) "Resiliency or Change? The Contemporary Canada-U.S. Border," *Geopolitics* 10, 4: 767-790.

----- (2006) "The Canada-U.S. Border after September 11: The Politics of Risk Reconstructed," *Journal of Borderlands Studies* 21, 1: 47-68.

Norman, E.S. and K. Bakker (2008) "Transgressing Scales: Water Governance Across the Canada-U.S. Borderland," *Annals, Association of American Geographers* 98, 4: 1-19.

Olmedo, C. (2005) "Terrorism's Role in Reshaping Border Crossings: 11 September and the U.S. Border Crossings," *Geopolitics* 10, 4: 741-766.

Posten, T. and I. Stewart (1998) Catastrophe: Theory and Its Application (New York: Dover).

Sadowski-Smith, C. (ed.) (2002) *Globalization on the Line: Culture, Capital and Citizenship at U.S. Borders* (New York: Palgrave).

Salter, M.B. (2003) Rights of passage: The Passport in International Relations (Boulder: Lynne Rienner).

----- (2008) Politics at the Airport (Minneapolis: University of Minnesota Press).

Sands, C. (2009) *Toward a New Frontier: Improving the U.S. Canadian Border* (Washington : The Brookings Institution).

Sparke, M. (2006) "A Neoliberal Nexus: Citizenship, Security and the future of the Border," *Political Geography* 25, 2: 151-180.

Van Houtum, H. (2005) "The Geopolitics of Borders and Boundaries," Geopolitics 10: 672-9.

Van Nijnatten, D. and R. Boardman (2009) Canadian Environmental Policy: Prospects for Leadership and Innovation (Don Mills: Oxford).

Vance, A. (2008) "Strategic Responses by Canadian and U.S. Exporters to Increased U.S. Border Security measures," *Economic Development Quarterly* 22, 3: 239-51.

Walters, W. (2006) "Border/Control," European Journal of Social Theory 9, 2: 187-203.

## **Appendix 1: Interview and Survey Documents**

Text of letter soliciting project participation:

Border Policy Research Institute Western Washington University 516 High Street Bellingham, WA 98225-9110 Phone: 360-650-3728 Fax: 360-650-3995

Dear border stakeholder,

RE: 'Breaking Points' project directed by Dr. Victor Konrad, Visiting Fellow, BPRI, 2009

During the past five months I have been engaged in the study of changes at the border in the Pacific Northwest region. I have examined how these changes in traffic patterns, intensified scrutiny, new technologies, rules, and reporting requirements, and more, have impacted the systems of security, transportation, trade, tourism, environment and other aspects of the border exchange structure and culture. Discussions with more than 70 border stakeholders confirm that changes within or between systems are sufficient to disrupt or halt the use and operation of systems and lead to breaking points. My project has been aimed at defining the nature and occurrence of breaking points in border operation and management, learning about how they emerge, establishing a model to characterize their impact, and developing policy implications.

In order to place this research into context, I am contacting all of the stakeholders who I have interviewed, as well as some that I have not yet had an opportunity to speak with. I am asking you to respond to a series of questions that will provide a 'base line' for all of the information gained from the interviews completed to date, and those that remain to be scheduled. This questionnaire aims to explore the views of informed stakeholders about borders, the border between Canada and the United States, and more specifically the border in the Pacific Northwest region shared by Washington State and British Columbia. The results of the survey will be used along with the information gained from the discussions with border stakeholders, in order to develop public policy recommendations for border operation and management. The results of the study will be published first by the BPRI, and subsequently as part of my next book on the U.S.-Canada border.

Thank you for responding to the following survey. Your contribution is appreciated.

Victor Konrad Visiting Fellow Border Policy Research Institute Western Washington University Text of handout describing project goals:

# Stakeholders' Views on the 21<sup>st</sup> Century Border in the Washington/British Columbia Region

# Goals of the Research Project

The border between the United States and Canada remains in place yet there have been substantial changes over the past 20 years in how the border functions, appears, and impacts those who cross it. The changes have led to increased attention to the border by the media, and increased concerns about border crossing by the public in both Canada and the United States. Federal governments in both countries have moved to assure secure borders, and governments at all levels have worked to sustain mobility, trade and interaction across the boundary. Local and regional stakeholders have emerged to challenge and work with border authorities to build a better border for the 21<sup>st</sup> century.

The purpose of my study is to collect and examine the views of a representation of border stakeholders in the Pacific Northwest region about what has changed at the border, and what works and does not work in the new era of border management. The project involves interviews and discussions with stakeholders as well as a stakeholder survey.

My goals are to:

- 1. Identify the perceived changes in how the border works.
- 2. Examine the changes in border operation, function, management and effectiveness in the context of emerging border theory.
- 3. Obtain ideas and insights about border 'best practices' in the BC/WA border region.
- 4. Evaluate the consensus and differences among stakeholder sectors (enforcement, business, tourism, transportation etc.).
- 5. Compare views of stakeholders in the Cascade Gateway with those in other localities along the WA/BC boundary.
- 6. Compare views of stakeholders in Canada and the United States.

Dr. Victor Konrad

Research Professor of Geography and Environmental Studies

Carleton University, Ottawa, Canada

Visiting Fellow, Border Policy Research Institute

Western Washington University, Bellingham WA (January-June, 2009)

Text of questionnaire:

# Please respond to the questions from the perspective of your stakeholder group.

## The 21<sup>st</sup> Century United States-Canada Border

- 1. In your estimation what is the most important or defining characteristic of the border between the United States and Canada?
- 2. What are the most important functions of the border?
- 3. Do Canada and the United States have a special border relationship? If so, why? If not, why not?

### Changes at the Canada-United States Border

- 4. During the past 20 years what changes at the border have been most significant? During the past 10 years what changes have been the most significant?
- 5. Have these changes been handled effectively by border stakeholders? How?
- 6. What could be handled better to establish a more effective border?

### Visions for the Border in the Pacific Northwest

- 7. Does stakeholder engagement and participation in border management work? If yes, how? If no, why not?
- 8. What form of stakeholder engagement works best? IMTC? Stakeholder forum? Ombudsman? Border 'watch'? Other?
- 9. Is the border management and stakeholder interaction in the PNW region an effective model for other border regions? Why?

Dr. Victor Konrad

Research Professor of Geography and Environmental Studies Carleton University, Ottawa, Canada Visiting Fellow, Border Policy Research institute Western Washington University, Bellingham WA (January-June, 2009)

# 5ddYbX]I '&. Border Stakeholder Survey 'F Ygi `hg

1. A border is there first and foremost to assure our national security.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
1	25.3% (21)	28.9% (24)	20.5% (17)	20.5% (17)	4.8% (4)	2.51	83			
		answered question								
		skipped question								

2. A border serves both as a bridge and a boundary.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
2	41.0% (34)	47.0% (39)	6.0% (5)	6.0% (5)	0.0% (0)	1.77	83			
		answered question								
	skipped question						0			

3. A border between two countries has multiple functions.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
3	59.0% (49)	39.8% (33)	1.2% (1)	0.0% (0)	0.0% (0)	1.42	83			
		answered question								
	skipped question						0			

4. A border mediates between two countries.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
4	18.1% (15)	38.6% (32)	36.1% (30)	4.8% (4)	2.4% (2)	2.35	83			
					answered	question	83			
		skipped question								

5. A border between two countries is constantly subject to change.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
5	16.9% (14)	37.3% (31)	25.3% (21)	16.9% (14)	3.6% (3)	2.53	83			
					answered	question	83			
					skipped	question	0			

6. All borders operate essentially the same.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
6	1.2% (1)	6.0% (5)	8.4% (7)	53.0% (44)	31.3% (26)	4.07	83			
		answered question								
		question	0							

7. People who live close to a border have a stake in the management of the border.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
7	39.8% (33)	42.2% (35)	6.0% (5)	12.0% (10)	0.0% (0)	1.90	83			
					answered	question	83			
		skipped question								

8. The border between Canada and the U.S. is there to ensure security.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
8	9.9% (8)	43.2% (35)	18.5% (15)	25.9% (21)	2.5% (2)	2.68	81			
		answered question								
		skipped question								

9. The border between the United States and Canada needs to balance sustained mobility with enhanced security.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
9	43.2% (35)	44.4% (36)	8.6% (7)	3.7% (3)	0.0% (0)	1.73	81			
					answered	question	81			
					skipped	question	2			

10. Enhanced technology will enable us to build a better border between the U.S. and Canada.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
10	25.9% (21)	45.7% (37)	24.7% (20)	3.7% (3)	0.0% (0)	2.06	81			
					answered	question	81			
		skipped question								

11. Enhanced border security makes it more difficult to do business between the United States and Canada.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
11	24.7% (20)	44.4% (36)	13.6% (11)	14.8% (12)	2.5% (2)	2.26	81			
					answered	question	81			
					skipped	question	2			

12. The border between the U.S. and Canada is broken.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
12	12.3% (10)	17.3% (14)	19.8% (16)	35.8% (29)	14.8% (12)	3.23	81			
					answered	question	81			
					skipped	question	2			

13. Enhanced security at the Canada-U.S. border makes me feel safer.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
13	3.7% (3)	19.8% (16)	32.1% (26)	30.9% (25)	13.6% (11)	3.31	81			
		answered question								
	skipped question									

14. It is unacceptable to wait for an hour or more to cross the Canada-U.S. border.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
14	44.4% (36)	37.0% (30)	11.1% (9)	7.4% (6)	0.0% (0)	1.81	81			
		answered question								
					skipped	question	2			

15. Doing business across the border has become more complex.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
15	34.6% (28)	53.1% (43)	8.6% (7)	3.7% (3)	0.0% (0)	1.81	81			
		answered question								
		skipped question								

16. I am concerned about the increased militarization of the border between the United States and Canada.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
16	39.5% (32)	30.9% (25)	9.9% (8)	17.3% (14)	2.5% (2)	2.12	81			
					answered	question	81			
					skipped	question	2			

17. I believe that comparable, consistent and electronic identity verification is necessary for our borders to work effectively.

	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count
17	17.3% (14)	44.4% (36)	25.9% (21)	9.9% (8)	2.5% (2)	2.36	81
					answered	question	81
					skipped	question	2

18. I believe that all Canadians and Americans should carry identity cards when crossing the border.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
18	17.3% (14)	51.9% (42)	21.0% (17)	7.4% (6)	2.5% (2)	2.26	81			
					answered	question	81			
					skipped	question	2			

Б

19. Wait times at the border are acceptable if the lost time enhances our security.											
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count				
19	3.7% (3)	24.7% (20)	23.5% (19)	45.7% (37)	2.5% (2)	3.19	81				
					answered	question	81				
					skipped	question	2				

20. The Western Hemisphere Travel Initiative has enhanced identity verification at land and sea crossings between the U.S. and Canada.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
20	7.4% (6)	46.9% (38)	33.3% (27)	12.3% (10)	0.0% (0)	2.51	81			
					answered	question	81			
					skipped	question	2			

Г

21. The border between Canada and the United States is fundamentally different than the border between Mexico and the United States.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
21	48.1% (39)	40.7% (33)	6.2% (5)	3.7% (3)	1.2% (1)	1.69	81			
					answered	question	81			
					skipped	question	2			

22. The United States Department of Homeland Security needs to establish and operate a common border policy for U.S. boundaries with Mexico, Canada and the Caribbean.

	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count
22	4.9% (4)	16.0% (13)	18.5% (15)	43.2% (35)	17.3% (14)	3.52	81
					answered	question	81
					skipped	question	2

23. The border exists primarily to stop illegal immigration.											
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count				
23	1.2% (1)	9.9% (8)	12.3% (10)	66.7% (54)	9.9% (8)	3.74	81				
					answered	question	81				
					skipped	question	2				

24. I am concerned about the guns that cross the border.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
24	18.5% (15)	40.7% (33)	22.2% (18)	13.6% (11)	4.9% (4)	2.46	81			
					answered	question	81			
		skipped question								

25. You can't stop smuggling across the Canada-U.S. border.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
25	9.9% (8)	43.2% (35)	25.9% (21)	14.8% (12)	6.2% (5)	2.64	81			
		answered question								
	skipped question									

26. The stakeholders who live and work in our cross-border region know best what is good for this region.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
26	15.2% (12)	46.8% (37)	22.8% (18)	15.2% (12)	0.0% (0)	2.38	79			
					answered	question	79			
		skipped question								

27. The border is most effectively managed through cooperation between federal agencies mandated to regulate the border and regional stakeholders at the border.											
	strongly agree	agree neutral disagree									
27	29.1% (23)	55.7% (44)	11.4% (9)	3.8% (3)	0.0% (0)	1.90	79				
		answered question									
skipped question											

28. The Enhanced Drivers License (EDL) program for BC and WA is a good program to achieve identity verification at the border.

	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count
28	20.3% (16)	54.4% (43)	20.3% (16)	5.1% (4)	0.0% (0)	2.10	79
					answered	question	79
					skipped	question	4

29. Infrastructure and technology enhancements in the Cascade Gateway are producing a more effective and efficient border crossing system in the cross-border region.									
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count		
29	8.9% (7)	43.0% (34)	35.4% (28)	12.7% (10)	0.0% (0)	2.52	79		
		answered question							
	skipped question								

30. Increased wait times and border traffic are causing unacceptable air quality problems at the border crossings of the Cascade Gateway.										
	strongly agree	agree neutral disagree								
30	7.6% (6)	50.6% (40)	34.2% (27)	6.3% (5)	1.3% (1)	2.43	79			
		answered question								
					skipped	question	4			

31. The International Mobility and Trade Corridor Project (IMTC) is a successful example of cross-border cooperation.

	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count
31	34.2% (27)	38.0% (30)	26.6% (21)	1.3% (1)	0.0% (0)	1.95	79
					answered	question	79
					skipped	question	4

32. There needs to be a balance between local stakeholder (bottom up) and national stakeholder (top down) management of the border in our region.											
	strongly agree	agree neutral disagree									
32	32.9% (26)	58.2% (46)	7.6% (6)	1.3% (1)	0.0% (0)	1.77	79				
		answered question									
skipped question							4				

33. Border issues in the Pacific Northwest are different than those in other cross-border regions between Canada and the United States.

	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count
33	20.3% (16)	39.2% (31)	26.6% (21)	12.7% (10)	1.3% (1)	2.35	79
					answered	question	79
					skipped	question	4

34. A 'thickened' border is produced when it takes more time, more money and greater difficulty to get across.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
34	29.1% (23)	53.2% (42)	12.7% (10)	3.8% (3)	1.3% (1)	1.95	79			
					answered	question	79			
		skipped question								

35. Transportation infrastructure developments have enhanced mobility across the border.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
35	7.6% (6)	49.4% (39)	25.3% (20)	17.7% (14)	0.0% (0)	2.53	79			
					answered	question	79			
		skipped question								

36. 'Thickening' the border has caused environmental damage in the cross-border region.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
36	10.1% (8)	40.5% (32)	38.0% (30)	8.9% (7)	2.5% (2)	2.53	79			
		answered question								
	skipped question									

37. A 'thickened' border will cause delays during the 2010 Olympic Winter Games.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
37	19.0% (15)	41.8% (33)	24.1% (19)	15.2% (12)	0.0% (0)	2.35	79			
					answered	question	79			
					skipped	question	4			

38. The problems at the border often compound at the busiest crossings where they cause the greatest visible impacts, or 'breaking points'.

	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count
38	22.8% (18)	55.7% (44)	17.7% (14)	3.8% (3)	0.0% (0)	2.03	79
					answered	question	79
					skipped	question	4

39. The most effective way of dealing with 'breaking points' at the border is to develop local solutions.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
39	12.7% (10)	55.7% (44)	24.1% (19)	7.6% (6)	0.0% (0)	2.27	79			
					answered	question	79			
		skipped question								

Г

40. Smaller border crossings and ports of entry experience fewer and smaller problems.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
40	8.9% (7)	31.6% (25)	29.1% (23)	27.8% (22)	2.5% (2)	2.84	79			
					answered	question	79			
		skipped question								

41. Border crossings in the interior of BC and WA have different priorities and issues than those in the Cascade Gateway.

	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count
41	12.7% (10)	59.5% (47)	17.7% (14)	7.6% (6)	2.5% (2)	2.28	79
					answered	question	79
					skipped	question	4

42. Small border crossings in the BC/WA cross-border region should all use one common facility.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
42	6.3% (5)	17.7% (14)	35.4% (28)	31.6% (25)	8.9% (7)	3.19	79			
		answered question								
					skipped	question	4			

43. The 21st century border between the U.S. and Canada needs to accommodate regional differences.									
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count		
43	21.5% (17)	67.1% (53)	6.3% (5)	5.1% (4)	0.0% (0)	1.95	79		
					answered	question	79		
		skipped question							

44. The Pacific Northwest region is developing approaches to the 21st century border (eg. IMTC) that will be useful in other border regions.

	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count
44	30.4% (24)	55.7% (44)	12.7% (10)	1.3% (1)	0.0% (0)	1.85	79
					answered	question	79
					skipped	question	4

45. We need a 'Border Forum' to discuss issues of security, prosperity and mobility.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
45	25.3% (20)	43.0% (34)	25.3% (20)	6.3% (5)	0.0% (0)	2.13	79			
		answered question								
					skipped	question	4			

46. We need more trust in the border relationship between Canada and the United States.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
46	34.2% (27)	35.4% (28)	25.3% (20)	5.1% (4)	0.0% (0)	2.01	79			
		answered question								
		skipped question								

47. We need a border ambassador or ombudsman to deal with issues that arise at the border.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
47	17.7% (14)	35.4% (28)	29.1% (23)	16.5% (13)	1.3% (1)	2.48	79			
					answered	question	79			
		skipped question								

48. A 'Border Forum' could link 'bottom up' border management with 'top down' border regulation.										
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count			
48	21.5% (17)	54.4% (43)	17.7% (14)	6.3% (5)	0.0% (0)	2.09	79			
					answered	question	79			
		skipped question								

49. A community border 'watch' program may be effective to bring border issues to the attention of authorities.							
	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count
49	11.4% (9)	36.7% (29)	38.0% (30)	10.1% (8)	3.8% (3)	2.58	79
	answered question					79	
	skipped question				4		

50. I am confident that the strong borderlands culture that has prevailed between Canada and the United States will sustain a positive approach to building a better border for the 21st century.

	strongly agree	agree	neutral	disagree	strongly disagree	Rating Average	Response Count
50	17.7% (14)	58.2% (46)	19.0% (15)	5.1% (4)	0.0% (0)	2.11	79
	answered question					79	
	skipped question				4		

51. This is a space to offer your comments or suggestions:				
		Response Count		
		15		
	answered question	15		
	skipped question	68		

52. Citizenship				
		Response Percent	Response Count	
United States Citizen		50.7%	38	
Canadian Citizen		40.0%	30	
Dual Citizen (CAN/US)		8.0%	6	
Other		1.3%	1	
	answere	ed question	75	
	skippe	ed question	8	

53. Residency			
		Response Percent	Response Count
Resident of USA		57.3%	43
Resident of Canada		42.7%	32
	answere	ed question	75
	skippe	ed question	8

54. User of:					
		Response Percent	Response Count		
Cascade Gateway		57.3%	43		
Interior BC/WA crossing		10.7%	8		
All of the above		29.3%	22		
None of the above		2.7%	2		
	answere	ed question	75		
	skippe	ed question	8		

55. Select one stakeholder affiliation:				
		Response Percent	Response Count	
Business		17.3%	13	
Education		21.3%	16	
Enforcement		6.7%	5	
Government		46.7%	35	
Other (please specify)		8.0%	6	
		Other	7	
	answere	ed question	75	
	skippe	ed question	8	

56. Border stakeholder for				
		Response Percent	Response Count	
less than 1 year		4.0%	3	
1 to 5 years		16.0%	12	
6 to 10 years		18.7%	14	
11 to 15 years		14.7%	11	
16 years or more		46.7%	35	
	answere	ed question	75	
	skippe	ed question	8	