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Earthquake Triggering at Alaskan Volcanoes Following the 3 November 2002 Denali Fault Earthquake

by Seth C. Moran, John A. Power, Scott D. Stihler, John J. Sánchez, and
Jacqueline Caplan-Auerbach

Abstract The 3 November 2002 M_W 7.9 Denali fault earthquake provided an excellent opportunity to investigate triggered earthquakes at Alaskan volcanoes. The Alaska Volcano Observatory operates short-period seismic networks on 24 historically active volcanoes in Alaska, 247–2159 km distant from the mainshock epicenter. We searched for evidence of triggered seismicity by examining the unfiltered waveforms for all stations in each volcano network for ~ 1 hr after the M_W 7.9 arrival time at each network and for significant increases in located earthquakes in the hours after the mainshock. We found compelling evidence for triggering only at the Katmai volcanic cluster (KVC, 720–755 km southwest of the epicenter), where small earthquakes with distinct P and S arrivals appeared within the mainshock coda at one station and a small increase in located earthquakes occurred for several hours after the mainshock. Peak dynamic stresses of ~ 0.1 MPa at Augustine Volcano (560 km southwest of the epicenter) are significantly lower than those recorded in Yellowstone and Utah (>3000 km southeast of the epicenter), suggesting that strong directivity effects were at least partly responsible for the lack of triggering at Alaskan volcanoes. We describe other incidents of earthquake-induced triggering in the KVC, and outline a qualitative magnitude/distance-dependent triggering threshold. We argue that triggering results from the perturbation of magmatic-hydrothermal systems in the KVC and suggest that the comparative lack of triggering at other Alaskan volcanoes could be a result of differences in the nature of magmatic-hydrothermal systems.

Introduction

The fact that earthquakes can be triggered by remote large earthquakes was established after the 1992 Landers earthquake (Hill *et al.*, 1993; Gomberg *et al.*, 2001), with instantaneous increases in seismicity observed at distances of up to 1250 km. Similar increases have since been observed after several large earthquakes, including the 1999 Hector Mine earthquake (e.g., Gomberg *et al.*, 2001), at The Geysers after several large remote earthquakes (Stark and Davis, 1996; Gomberg and Davis, 1996), and at sites up to 3660 km distant from the 2002 Denali fault earthquake (e.g., Eberhart-Phillips *et al.*, 2003). Remotely triggered seismicity often occurs in volcanically or geothermally active regions (e.g., Hill *et al.*, 1993, 1995; Gomberg and Davis, 1996; Power *et al.*, 2001; Hill *et al.*, 2002; Husen *et al.*, 2004; Prejean *et al.*, 2004), although it has also been observed in nonvolcanic areas (e.g., Hill *et al.*, 1993; Brodsky *et al.*, 2000; Hough, 2001; Bodin *et al.*, 2003; Pankow *et al.*, 2004).

The 3 November 2002 M_W 7.9 Denali fault earthquake (DFE) was the first $M_W > 7.5$ event to occur in Alaska since modern seismic networks were established in the late 1990s

by the Alaska Volcano Observatory (AVO) on many of the historically active volcanoes in Alaska. Previous investigators have found evidence of earthquake-volcano interactions at isolated volcanic centers in Alaska. These include a M_L 5.0 earthquake apparently induced by magma intrusion beneath Shishaldin volcano (Fig. 1) 1.5 months prior to its sub-Plinian eruption in 1999 (Moran *et al.*, 2002), and triggered earthquakes beneath the Katmai volcanic cluster after the nearby 1999 M_W 7.0 Karluk Lake earthquake (Power *et al.*, 2001). In this article we document the seismic response of volcanic areas in Alaska to the DFE, spanning a distance of 247 to 2159 km from the mainshock's epicenter (Fig. 1). We focus on the short-term (seconds to hours) response, because it is statistically easier to demonstrate a direct correlation between the triggering event and triggered seismicity. However, Sanchez and McNutt (2004) note that a possible longer-term quiescence may have occurred at Wrangell and Veniaminof volcanoes (247 km southeast and 1050 km southwest of the mainshock, respectively) (see Fig. 1) in the intermediate-term (weeks to months) after the mainshock. We then describe the response of volcanoes within Katmai

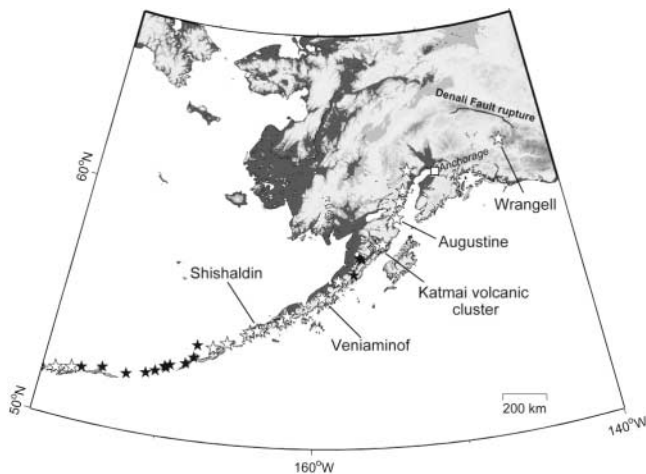


Figure 1. Map showing location of the Denali fault rupture relative to historically active volcanoes in Alaska (stars). White stars represent seismically monitored volcanoes; black stars represent unmonitored volcanoes.

National Park to four other large (M_w 6.8–7.0) earthquakes with hypocenters located 115–161 km from the volcanoes and explore the possible existence of a triggering threshold.

Earthquake Triggering at Alaska Volcanoes

Background: Volcano Monitoring in Alaska

AVO, a cooperative organization consisting of the U.S. Geological Survey, the University of Alaska at Fairbanks Geophysical Institute, and the Alaska Division of Geological and Geophysical Surveys, operated seismic networks on 24 of the 40+ historically active volcanoes in Alaska at the time of the DFE (Dixon *et al.*, 2003), spanning a distance of ~2300 km along the Alaska/Aleutian volcanic arc (Fig. 1). AVO has been steadily increasing the number of seismically monitored volcanoes in Alaska since its inception in 1988, when it monitored only one volcano. At the time of the DFE, AVO recorded 178 channels of data from 140 stations (Dixon *et al.*, 2003). Of these 140 stations, 123 have short-period vertical-component seismometers, 16 have short-period three-component seismometers, and one has a broadband sensor. A typical network on a single volcano consists of six short-period stations, with one three-component and five vertical-component seismometers. Seismic signals are placed on commercial phone circuits and sent to AVO operational centers in Fairbanks and Anchorage, where they are digitized at 100 samples/sec, analyzed by automated and manual processes, and archived in both continuous and segmented formats (Dixon *et al.*, 2003).

Response of Alaskan Volcanoes to the 2002 Denali Fault Earthquake

We used two criteria for determining whether triggered seismicity occurred at a given volcano: (1) the presence of

local events (i.e., small S - P times, only recorded on local stations, etc.) in the coda of a large earthquake and (2) a significant short-term increase in the number of located local earthquakes. Immediately after the DFE we carefully looked at unfiltered and filtered waveforms for all stations in the AVO network for 1 hr beyond the event onset. We found evidence for events in the coda only at several stations near a group of historically active volcanoes in Katmai National Park, referred to here as the Katmai volcanic cluster, or KVC. The earliest detected event appeared on station ACH, ~4 min after the mainshock arrival (Table 1, Fig. 2). There was a small increase relative to background levels in located earthquakes in the KVC, with seven occurring during a 12-hr period after the mainshock (Table 1). Four additional events were detected on ACH but were too small to be located.

We found no evidence of local events in the coda of the DFE at any other volcanoes. We also found no evidence of a short-term increase in the number of located earthquakes at any other volcano or in the AVO catalog as a whole. Because waveforms were clipped on all short-period stations for at least several minutes after the first arrivals, it is impossible to say definitively that no triggering occurred at other volcanoes besides the KVC. In particular, records from Wrangell, the volcano closest to the epicenter (247 km southeast), were dominated by aftershocks for several days after the event, making assessment of short-term triggering particularly difficult. The one site that did stay on scale is the broadband station AUL on Augustine volcano (Fig. 1). AUL is located 2 km from the summit of Augustine and 560 km from the DFE epicenter; it has a Guralp CMG-40T sensor and DM24 digitizer. Figure 3 shows unfiltered and high-pass-filtered 27-min-long records of the vertical component from AUL. The high-pass-filtered record shows no evidence of any local events, and none were found over later time intervals. Thus, at Augustine at least, we can definitively say that no triggering occurred in association with or after the passage of peak stress/strain transients.

Another form of evidence for negligible short-term effects at Alaskan volcanoes, other than those in the KVC, comes from the two volcanic systems that were seismically restless prior to the mainshock. There is good evidence that Veniaminof and Shishaldin volcanoes (Fig. 1) were not significantly perturbed in the short term by the mainshock. Figure 4 shows 24-hr helicorder plots, generated via the “Heli_ew” module of the EARTHWORM data-acquisition system (Bittenbinder *et al.*, 1994), from before and after the mainshock at station VNSS on Veniaminof (5.5 km northwest of the volcano and ~1050 km southwest of the epicenter). Veniaminof had been exhibiting elevated seismicity in the form of low-frequency events (1–2 Hz) and tremor since 10 September 2002. Although an increase in wind noise makes the “after” 24-hr helicorder plot difficult to read, it is still possible to see larger low-frequency events, and no obvious change in event rates or size is apparent. Figure 5a shows similar 24-hr plots from station SSLN on Shishaldin

Table 1
Characteristics of Triggered Seismicity at Katmai

Event Date (mm/dd/yy)	M_w^*	Depth (km)	Hypocentral Distance to Mageik (km)	No. Located Events within 12 hr.	Largest Triggered Event (M_L)	Time from Mainshock Arrivals to First VT in Coda (sec)	Time from Mainshock Arrivals to First Located Event (sec)
12/06/99	7.0	60	115	17	2.3	171	605
07/11/00	6.8	50	122	6	0.9	—	1133
01/10/01	7.0	35	161	11	1.5	105	909
07/28/01	6.8	128	161	0	—	—	—
11/03/02	7.9	0	740	7	2.0	232	1135

*Source parameters are from the National Earthquake Information Center.

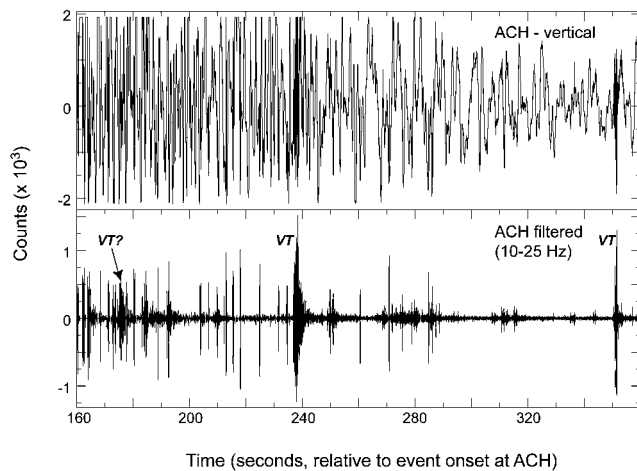


Figure 2. Unfiltered (top) and filtered (bottom) records from the vertical component of station ACH, a short-period (2-Hz) three-component station in Katmai National Park. Time is relative to the DFE P -wave arrival time at ACH. The filtered record (high-pass filter with a 10-Hz corner frequency) shows at least two, possibly three, local events (labeled “VT”) occurring in the coda. Note that clipping occurs over much of the first 120 sec in the unfiltered trace, resulting in noise spikes in the filtered trace.

(6.5 km north of the volcano and ~ 1345 km southwest of the epicenter) before and after the mainshock. Both plots are dominated by small low-frequency events (1–2 Hz), which had been occurring relatively continuously at Shishaldin since late 1999 (Caplan-Auerbach *et al.*, 2002). Visual comparisons show no obvious change in event rate or size during the 24 hr after the DFE. A graph of hourly event counts made from each of these plots (Fig. 5b) further demonstrates no change in the seismicity rate after the mainshock.

The lack of evidence for seismicity changes at these two volcanoes was surprising. Because both were already seismically restless, presumably due to the presence of an active hydrothermal system fed by magma at relatively shallow depths, we hypothesized that pore pressures and volumetric and thermal stresses in the seismogenic region would be relatively high, thereby setting the stage for short-term seismicity increases. The absence of short-term changes is in

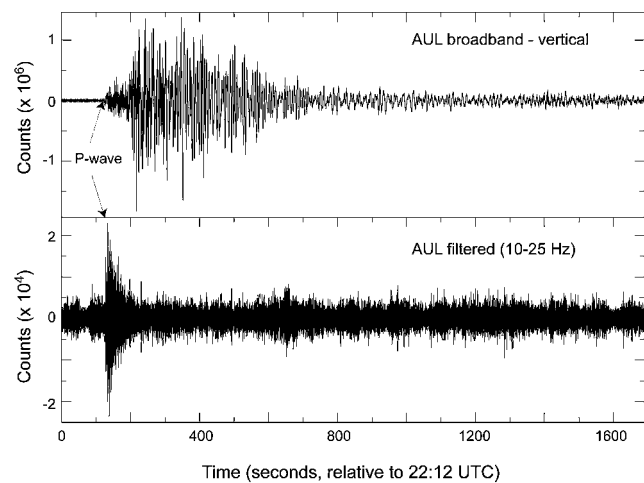


Figure 3. Unfiltered (top) and filtered (bottom) 27-min-long recording of the DFE at broadband station AUL, the only AVO station to stay on scale. The filtered record (high-pass filter with a 10-Hz corner frequency) shows no evidence of local earthquakes in the coda.

contrast to fluctuations in tremor amplitudes observed at Shishaldin in 1999 in the days after a M_L 4.4 earthquake located ~ 15 km west of the volcano (Moran *et al.*, 2002). These fluctuations occurred in the context of months-long seismic unrest that culminated in a sub-Plinian eruption 5 days after the M_L 4.4 earthquake (an aftershock to the 4 March 1999, M_L 5.2 mainshock that is thought to have occurred in response to magma intrusion at Shishaldin [Moran *et al.*, 2002; Nye *et al.*, 2002]). If the fluctuations observed in 1999 were in fact triggered by the nearby local earthquake, then the absence of any obvious disruption after the DFE suggests that the Shishaldin magmatic system was in a more stable steady-state mode in 2002 and, therefore, may have been less susceptible to perturbation by large stress/strain transients from regional earthquakes than it was in 1999.

Thus, with the exception of the KVC, Alaskan volcanoes showed no significant short-term seismic response to the DFE. Even if local events did occur while seismometers were clipped, the relative lack of a short-term seismic response to the DFE by Alaskan volcanoes is especially impressive given

VNSS

11/03/2002

11/04/2002

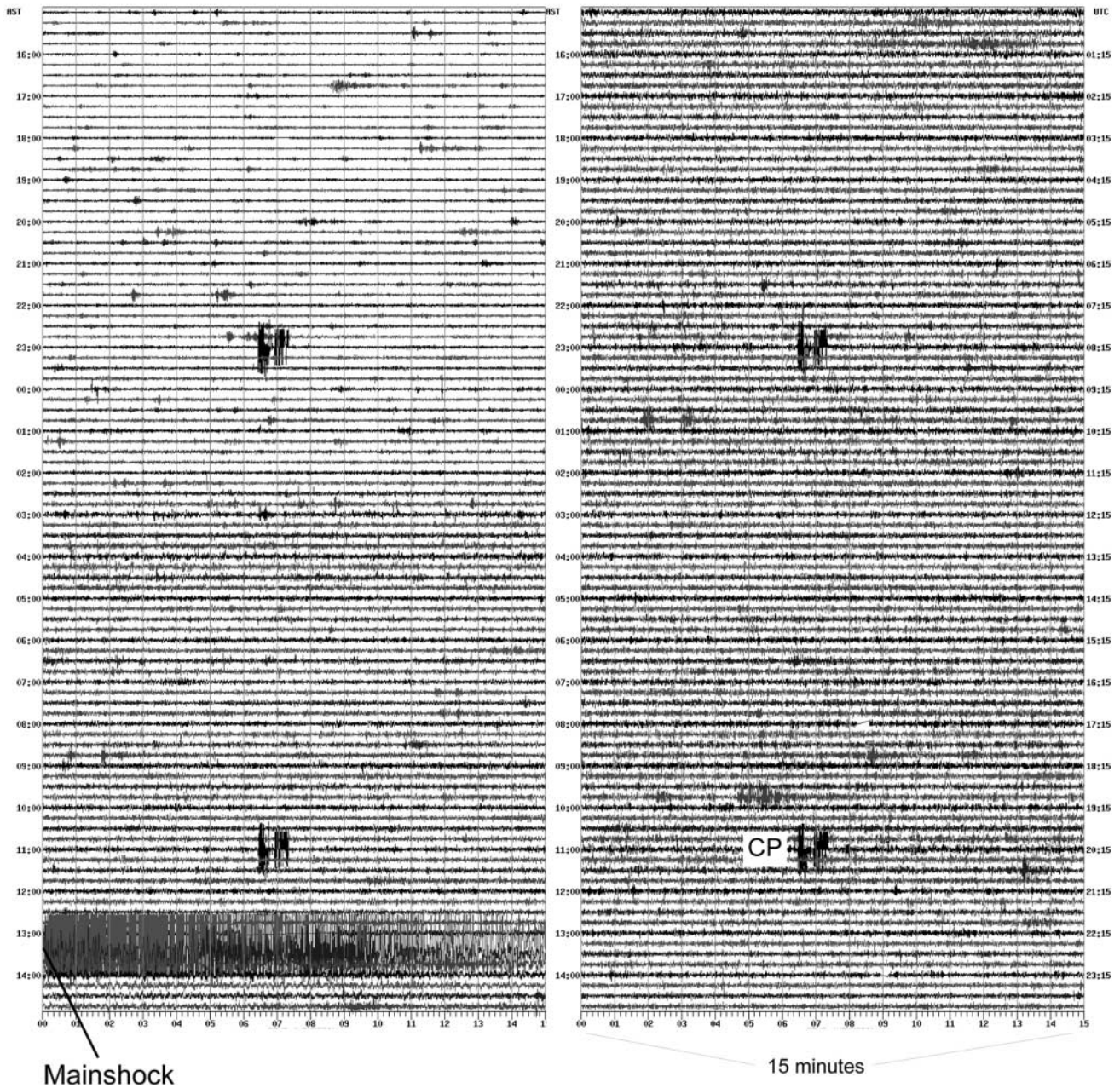


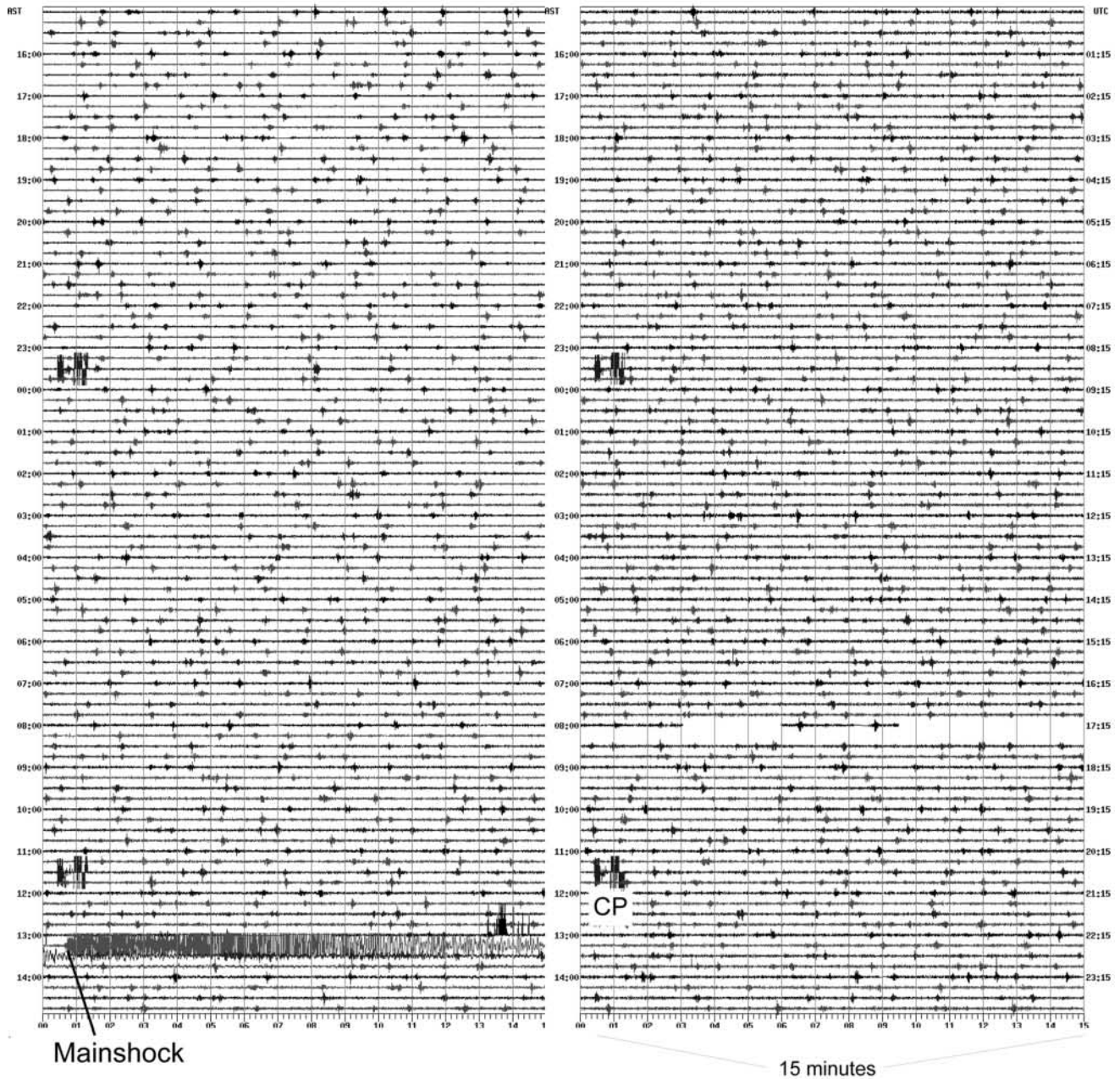
Figure 4. Twenty-four-hour helicorder plots from station VNSS on Veniaminof volcano for the 24-hr periods before and after the DFE. Wind noise increased throughout 3 November and largely masked smaller events on 4 November, making event counts difficult. “CP” denotes calibration pulse (two per plot). Plots were generated using “Heli_ew,” a module of the EARTHWORM data-acquisition system (e.g., Bittenbinder *et al.*, 1994).

(a)

SSLN

11/03/2002

11/04/2002



Mainshock

15 minutes

SSLN Hourly Counts

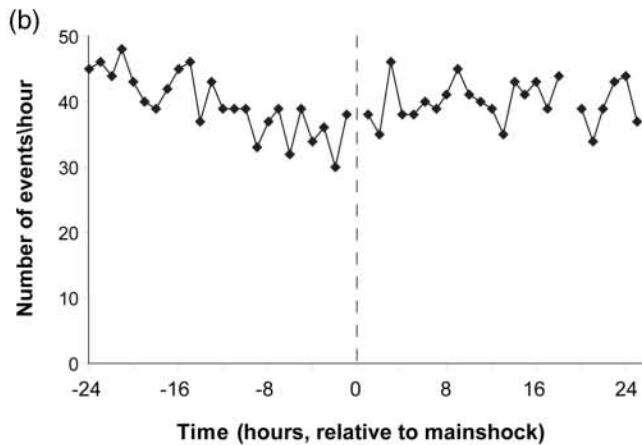


Figure 5. (a) Twenty-four-hour helicorder plots from station SSLN on Shishaldin volcano for the 24-hr periods before and after the DFE. “CP” denotes calibration pulse (two per plot). (b) Graph of hourly event counts on SSLN for the same period shown in (a), showing no change in event rate.

the increases in seismicity observed at Yellowstone (Husen *et al.*, 2004), Utah (Pankow *et al.*, 2004), Mammoth Mountain (Prejean *et al.*, 2004), and elsewhere. The absence of any short-term seismic response suggests either that dynamic stresses were smaller at Alaskan volcanoes relative to other locations because of directivity effects, or that most Alaskan volcanoes are not susceptible to triggering by remote large earthquakes. Evidence for relatively small dynamic stresses comes from the AUL broadband recording (Fig. 3), where peak dynamic stresses (assuming $0.1 \text{ MPa} \sim 1 \text{ cm/sec}$ [Hill *et al.*, 1993]) were 0.06 MPa on the vertical and 0.1 MPa on the horizontal components. This is a factor of 2–3 less than the values of $0.19\text{--}0.3 \text{ MPa}$ reported by Pankow *et al.* (2004) for north-central Utah, indicating that directivity effects were strong (Velasco *et al.*, 2004). However, triggering also occurred at the Coso geothermal field, where measured peak dynamic stresses were $\sim 0.01 \text{ MPa}$ (Prejean *et al.*, 2004), illustrating that different volcanic systems likely have different triggering thresholds. Thus, although dynamic stresses were certainly smaller at most Alaskan volcanoes than elsewhere because of directivity effects, this does not in and of itself explain why triggering was not observed at other volcanoes, particularly those closer than the KVC to the DFE.

Why Triggering Only at Katmai?

Katmai Seismicity Characteristics

AVO installed a five-station short-period network at Katmai National Park in 1995, expanding it to 11 stations in 1996 and then to the current 18-station network in 1998 (Moran, 2003) (see Fig. 6). The seismic network monitors seven historically active volcanoes in the KVC, including Martin, Mageik, Trident, Novarupta, Griggs, Mount Katmai, and Snowy. The Katmai area has the highest background seismicity rate, in terms of located earthquakes, of any seismically monitored volcanic region in Alaska (Moran, 2003), with an average of three locatable events per day, accounting for roughly 30% of all located earthquakes in the AVO catalog between 1995 and 2002. The vast majority are volcano-tectonic (VT) earthquakes (e.g., Lahr *et al.*, 1994) with shallow depths (typically $z < 5 \text{ km}$) that occur in several spatially distinct clusters associated with volcanic centers (Fig. 6). This overall seismicity pattern is unchanged from that observed on a previous seismic network between 1987 and 1991 by Ward *et al.* (1991).

A History of Triggered Seismicity

Since 1996, five regional events (including the DFE) with $M_W \geq 6.8$ have occurred within 800 km of the KVC (Fig. 6, Table 1). Power *et al.* (2001) described the first known incidence of triggered seismicity in Alaska, a series of small ($M_L < 1.3$), shallow (depth $< 5.0 \text{ km}$), VT-type events after the $M_W 7.0$ Karluk Lake earthquake on 6 December 1999 (event 1 in Fig. 6 and Table 1). The Karluk

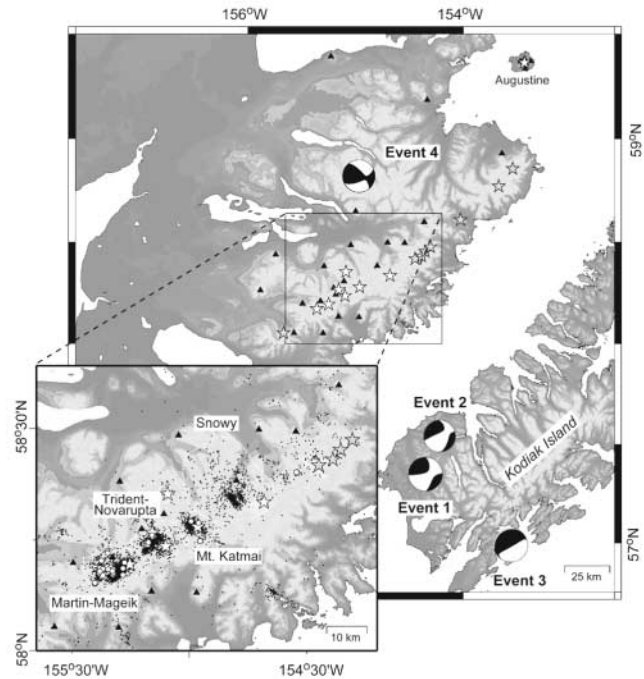


Figure 6. Map showing location and moment tensor solutions (taken from the Harvard Centroid Moment Tensor database) for the first four regional events in Table 1. Inset map shows seismicity in the Katmai Volcanic Cluster (KVC), with 1996–2002 earthquakes shown as small black dots and triggered VT events shown as white circles. The dashed lines outline the four clusters of seismicity in the KVC (e.g., Moran, 2003). For both maps, white stars represent historically active volcanoes, gray stars represent other volcanoes, and black triangles represent AVO seismic stations.

Lake earthquake was a Benioff zone event located 60–100 km southeast of the KVC. Triggering was characterized both by a short-term increase in the number of locatable earthquakes occurring in the first 12 hr after the mainshock and by the presence of unlocatable VTs in the mainshock coda. The unlocatable events were first seen $\sim 3 \text{ min}$ after the mainshock on station ACH, a three-component station $\sim 5 \text{ km}$ west-northwest of Mageik volcano, which suffered a significantly shorter duration of clipping (163 sec) than other stations in the network. Power *et al.* (2001) showed that static stress changes were likely too small ($< 0.01 \text{ MPa}$) to have triggered earthquakes, suggesting that dynamic stresses associated with passing waves likely caused triggering. No triggered earthquakes were observed at other nearby volcanoes, including Augustine volcano (560 km from the epicenter).

Moran *et al.* (2001) documented the response of the KVC to three other large regional events (Fig. 6), including a $M_W 6.8$ event on 11 July 2000 (event 2), a $M_W 7.0$ event on 10 January 2001 (event 3), and a $M_W 6.8$ event on 28 July 2001 (event 4). All three were Benioff zone events that were located farther from the KVC than event 1 (Table 1).

Like event 1, small VT events could be seen in the coda of event 3 that were obviously local to the KVC (Fig. 7), and a short-term increase in the number of located VT events was noted (Fig. 8, Table 1). No VTs were observed in the codas of either event 2 or 4 (Fig. 7); however, there was a small increase in located earthquakes (five events in 3 hr) after event 2 (Fig. 8). All located earthquakes were small and shallow and had the same character as KVC background seismicity. A total of 41 earthquakes occurred in the KVC within 12 hr of the four triggering regional events; 28 were located in the Martin-Mageik cluster, 8 in the Trident-Novarupta cluster, and 3 in the Mount Katmai cluster (Fig. 6), in approximate proportion to the number of located earthquakes in each cluster since 1995 (Moran, 2003). Two of the events after event 1 occurred in an area of minimal background seismicity (Power *et al.*, 2001) and could represent “new” earthquakes (i.e., earthquakes that occurred only because of dynamic stresses associated with the passing seismic waves [Gomberg *et al.*, 1997]). The number of VT events declined rapidly with time after each of the four regional earthquakes, with most VT events occurring in the first 3 hr after the mainshock.

To investigate the statistical significance of short-term increases in located earthquakes after four of the five regional events, we binned the Katmai catalog between 1 August 1996 and 31 December 2002, into 18,752 3-hr intervals. Using a definition of a swarm as any 3-hr interval with at least five earthquakes (i.e., the number of located events after event 2), we found 104 swarms in the catalog. Assuming a Poissonian process of constant rate, the probability of any one of the 104 swarms occurring within 20 min of a specific event is ~ 0.0006 . From a short-term perspective, therefore, it is highly unlikely that the four short-term increases oc-

curred by chance, suggesting that events 1, 2, 3, and 5 (the DFE) all resulted in triggered seismicity in the KVC.

The vast majority of triggered earthquakes closely resemble “normal” KVC earthquakes in terms of size, location, and type. This suggests that most triggered earthquakes are events whose clocks were advanced by transient loads (e.g., Gomberg *et al.*, 1997). If true, then a period of fewer-than-normal events should follow each case of triggering. Power *et al.* (2001) noted a 7-day period of apparent quiescence following the triggered events of event 1. From Figure 8 it appears as if there was also quiescence following events 2, 3, and 5. However, the relatively small number of triggered earthquakes after the other three events makes it difficult to

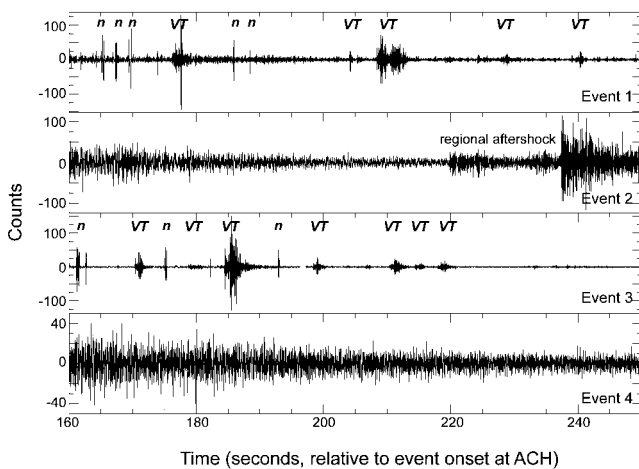


Figure 7. Seismograms from station ACH (Fig. 6) showing the coda of the first four regional events listed in Table 1 high-pass filtered with a 10-Hz corner frequency. Time is relative to 5 sec before event onset. Noise spikes are labeled as “n,” local VT events as “VT.”

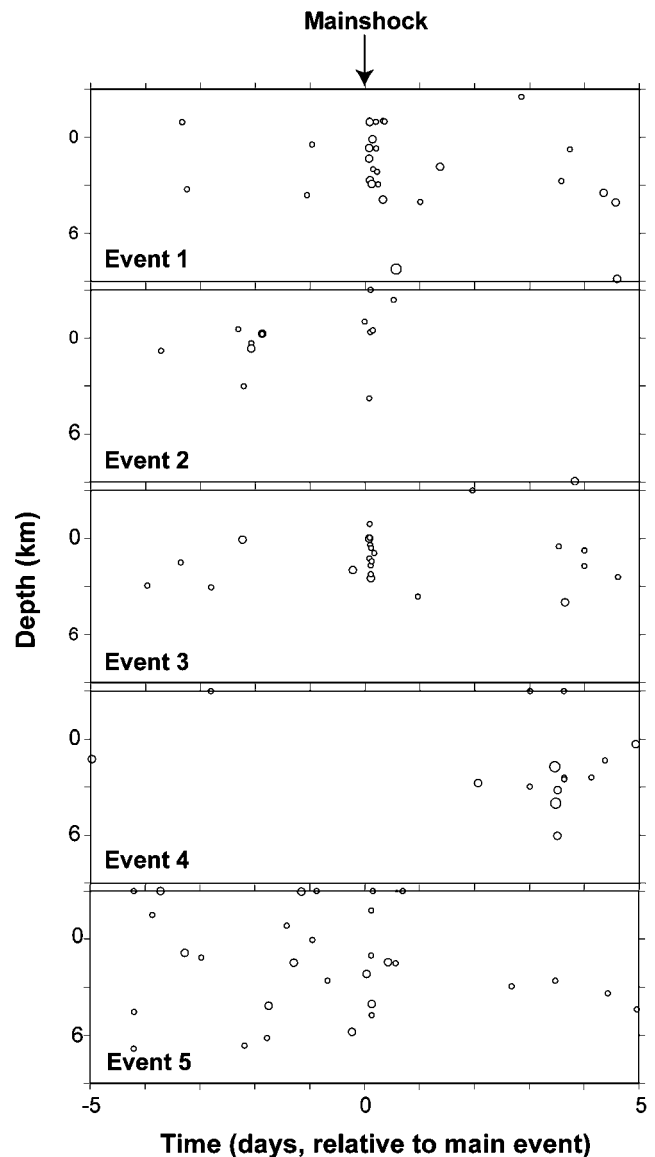


Figure 8. Time versus depth plot for all located earthquakes (hollow circles) occurring within ± 5 days of the onset of each of the five regional events listed in Table 1.

ple relationship between their b -values and the loci of triggered seismicity.

Many mechanisms have been proposed by other investigators to explain remote triggering of seismicity, including advective overpressure (Linde *et al.*, 1994), rectified diffusion (Brodsky *et al.*, 1998), blockage rupturing in fractures sealed by precipitates in a confined aquifer or hydrothermal system (Brodsky *et al.*, 2003), changes in the state of a fault or friction across a fault surface leading to clock advances (Gomberg *et al.*, 1997, 1998; Hough and Kanamori, 2002), and changes in the state of crystallizing magma bodies (such as sinking crystal plumes and magma body relaxation) induced by passing seismic waves (Hill *et al.*, 2002). Because most triggered earthquakes in the KVC occur relatively soon (seconds to hours) after the passage of seismic waves, mechanisms that require delays of hours to days (advective overpressure, rectified diffusion, changes in state of magma bodies) are the least likely sources of triggering at the KVC. Given that KVC seismicity appears to be related to hydrothermal circulation of magmatically derived fluids and that triggered seismicity is similar in nature and location to background seismicity, it seems reasonable to infer that fluids play a key role in the occurrence of KVC triggered seismicity as well. The blockage-rupturing model of Brodsky *et al.* (2003) is consistent with many aspects of KVC triggered seismicity, including relatively instantaneous occurrence of triggered earthquakes during passage of seismic waves, the small size of most triggered earthquakes, and the occurrence of triggered earthquakes mainly in regions with active hydrothermal circulation. We note that this model also requires quiescence after triggering, which may have occurred after the four events that triggered KVC seismicity (Fig. 8).

Reasons for the absence of short-term triggering at other Alaskan volcanoes, particularly those closer to the DFE, are less clear. Other Alaskan volcanic centers also have active magmatic-hydrothermal systems, although perhaps not as spatially extensive as those at Katmai if viewed as a whole. Unfortunately, little is known about the nature of any of these systems, making direct comparisons between systems at Katmai and other volcanic areas impossible. At present the best we can say is that the presence of triggering at Katmai, and lack of it elsewhere, suggests that some aspect of the KVC magmatic-hydrothermal systems is fundamentally different from those at other Alaskan volcanoes.

Conclusions

After the DFE, we scanned records from all AVO seismic stations for ~ 1 hr following the event onset and found evidence of short-term earthquake triggering only in the Katmai area (720–755 km southwest of the epicenter). We saw no obvious changes in seismicity at two volcanoes, Veniaminof and Shishaldin, which were seismically restless at the time of the DFE. The lack of seismic response of Alaskan volcanoes is in marked contrast to triggering observed at Yellowstone, Long Valley, and other sites in North America,

many at distances >3000 km from the epicenter (e.g., Eberhart-Phillips *et al.*, 2003). The scarcity of triggering in Alaska may be due to directivity effects; peak dynamic stresses of ~ 0.1 MPa at Augustine volcano were two to three times lower than dynamic stresses recorded in Utah (Pankow *et al.*, 2004; Velasco *et al.*, 2004). However, triggering was observed at other North American sites where peak dynamic stresses were less than 0.1 MPa (e.g., Prejean *et al.*, 2004), indicating that triggering thresholds vary from system to system. The Katmai area is particularly susceptible to triggering, because three other large ($M_w > 6.7$) regional events have triggered earthquakes there since 1999. Triggered earthquakes at Katmai appear to be clock-advanced “background” earthquakes associated with seismically active magmatic-hydrothermal systems (e.g., Jolly and McNutt, 1999; Moran, 2003). The absence of triggering at other Alaskan volcanoes indicates that Katmai has a lower triggering threshold, which suggests that the magmatic-hydrothermal systems beneath the Katmai volcanoes are fundamentally different than those at other Alaskan volcanoes.

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